
Quasi-Dynamic Homogenization of Geometrically Simple Dielectric Composites

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Abstract — The frequency dependence of the effective permittivities of simple dielectric composites is evaluated by different quasi-dynamic homogenization methods. Three retrieval approaches based on the scattering parameters are proposed for dielectric materials. A compensation method for the conventional Nicolson-Ross-Weir (NRW) retrieval is applied to eliminate the Fabry-Pérot (FP) resonances and their distortions of the retrieval results. All these quasi-dynamic homogenization methods are then evaluated by comparing the corresponding retrieval results against one another. Finally, by comparing these retrieval results with the static Lord Rayleigh prediction, the limitation of the quasi-static approximation for such composites is considered.

Index Terms — Dispersion diagram, homogenization, Rayleigh mixing rule, S-parameter retrieval.

I. INTRODUCTION

Homogenization is a method whereby the complicated and spatially varying microscopic fields existing in a heterogeneous medium, when it is excited by an electromagnetic (EM) wave with sufficiently large wavelength, are replaced by smoothly varying (or macroscopic) fields. These fields can be used for characterizing the behavior of the medium with effective parameters \( \varepsilon_{\text{eff}} \) and the permeability \( \mu_{\text{eff}} \). Indeed, depending on the symmetry, the arrangement and the intrinsic EM properties of the constituents of the composites, the effective bulk medium may exhibit anisotropic, bianisotropic, or chiral characteristics [3]. We, however, confine our focus to mixtures composed of linear, lossless, and passive dielectric materials, which in turn allows us to compress all EM properties approximately into the effective permittivity \( \varepsilon_{\text{eff}} \).

In electrostatics, the permittivity of the effective bulk medium \( \varepsilon_{\text{eff}} \) can be successfully predicted by various classical mixing formulas. These mixing rules in general determine \( \varepsilon_{\text{eff}} \) in terms of the permittivity of the individual phases and their volume fractions for specific inclusion shapes. The Lord Rayleigh formula is taken due to its sufficient accuracy as the static prediction for the \( \varepsilon_{\text{eff}} \) of the composites of our interest in this paper. For spherical inclusions (\( \varepsilon_i \)) with volume fraction \( p \) dispersed in the host medium (\( \varepsilon_e \)), the Rayleigh effective permittivity \( \varepsilon_{\text{Ray}} \) reads [4],

\[
\varepsilon_{\text{Ray}} = \frac{3p\varepsilon_e - \frac{\varepsilon_i + 2\varepsilon_e}{\varepsilon_i - \varepsilon_e} - \frac{1.305\cdot p^{1/3}(\varepsilon_i - \varepsilon_e)}{\varepsilon_i + 4\varepsilon_e/3}}{p^{1/3}(\varepsilon_i - \varepsilon_e)}.
\] (1)

When the scale of the local inhomogeneity is, however, no longer very small compared with the wavelength of the applied fields, the validity of the quasi-static description of heterogeneous media with effective medium parameters becomes a critical issue.

With the recent emergence of metamaterials, many researchers [5, 6] had extended such a homogenization procedure into this sensitive region, where the dimension of unit cell is usually an appreciable fraction of the wavelength [7], to characterize their EM properties with the effective medium parameters \( \varepsilon \) and \( \mu \). On the contrary, in [8] the authors found that the conventional effective material parameters are meaningless for an optical fishnet metamaterial due to the mesoscopic nature and the related spatial
dispersion. Instead of questioning its validity, we discuss in this paper how far upwards in frequency range we can approximately apply the static permittivity. We explore this problem by considering the quasi-dynamic homogenization of two kinds of simple composites — a transversally infinite slab and a simple cubic lattice, and constrain the homogenization of the slab to only one of the principal axes to eliminate the spatial dispersion influences. It is furthermore assumed that the constituents of the composites are dielectric materials and no artificial magnetism is generated by the homogenization. In order to determine the limit of the quasi-static approximation, we present four different S-parameter-based methods and the $ka$–$\alpha$ dispersion diagram method [9] to retrieve the frequency dependence of the effective permittivity of two composites, and then compare them with the corresponding static Lord Rayleigh prediction to decide up to what extent it still holds the predictive power. It is moreover demonstrated that below such limits the spatial dispersion can be neglected for the simple cubic lattice.

II. GEOMETRY SETUP

Composites are analyzed in this paper using two models. The first one is a slab of thickness $d$ which is infinite in the transverse direction ($x$ and $y$) and formed from 9 cubic unit cells in $z$ direction, while the other one is an infinite simple cubic lattice. Both composites are composed of the same unit cells. The unit cell is constructed by a dielectric spherical inclusion with relative permittivity $\varepsilon_i$ centered in a dielectric cube ($\varepsilon_e = 1$) with edge length $a$, and the inclusion volume fraction is $p$. In this paper, we use the 3D EM simulator CST Microwave Studio (CST MWS) [10] to compute the required data for the $\varepsilon_{eff}$ retrievals of different mixtures.

A. The slab

We consider the situation when a plane wave with $y$-polarized electric field is normally incident on the transversally infinite slab. Such a scenario can be realized by a finite structure with proper boundary conditions in CST MWS, due to the symmetry of the field distribution. As shown in Fig. 1, a slab of thickness $d$ is made of 9 unit cells in a row, and an additional free space is added to prevent higher modes from propagating. PEC boundary conditions are assigned to the slab’s upper and lower surfaces in $y$ direction, while in $x$ directions PMC boundaries are given. The whole geometry is then excited by two waveguide ports, which as well compute the S-parameters for retrievals. Only the electric response in $y$ direction is of interest due to the $y$-directionally polarized electric field. Since the whole structure is symmetric with respect to the $xz$ and $yz$ planes, the computational complexity can be reduced by defining them respectively as electric and magnetic symmetry planes. Therefore, merely one quarter of the structure needs to be computed.

![Fig. 1. 3D simulation configuration for the nine layer transversally infinite slab in CST MWS.](image)

B. The simple cubic lattice

The simple cubic lattice can be constructed in CST MWS readily by assigning periodic boundary conditions to all six surfaces of the cubic unit cell. The $ka$–$\alpha$ dispersion diagrams of the TEM modes for three different propagation directions, along the cube edge, surface diagonal and volume diagonal, are then generated respectively for retrievals.

III. RETRIEVAL METHODS

Many sophisticated techniques have been employed for the practical measurements to estimate the dielectric properties of materials [11]. But the full wave simulator, which eliminates many realistic uncertainties, enables us to retrieve the permittivities of the proposed composites with more straightforward methods. In this section, different retrieval methods will be introduced targeted on different composites. For the slab, four S-parameter-based methods are applied, while the $ka$–$\alpha$ dispersion diagram approach is presented for the infinite lattice.

A. The slab case — S-parameter-based methods

1. The conventional NRW method
The classical approach of retrieving the effective \( \varepsilon \) and \( \mu \) from S-parameters was originally studied by Nicolson, Ross, and Weir [12, 13]. Smith and coauthors improved and extended this method to determine the effective medium parameters of metamaterials [14]. Later, Chen and coauthors presented a more robust method aiming at metamaterials [14].

For a plane wave normally incident on a homogeneous slab with thickness \( d \), the simulated S-parameters are related to \( n \) and \( z \) by [15]:

\[
z = \pm \sqrt{\frac{(1+S_{11})^2 - S_{21}^2}{(1-S_{11})^2 - S_{21}^2}} \tag{2}
\]

\[
Q = e^{-jkd} = \frac{S_{21}}{1-S_{11}z^{-1}z^{-1}}. \tag{3}
\]

\[
n = \frac{1}{kd} \left[ -\text{Im}[\ln(Q)] + 2m\pi + j \cdot \text{Re}[\ln(Q)] \right], \tag{4}
\]

where \( k \) denotes the free space wave number. The sign ambiguity in Eq. (2) can be cleared by the requirement \( \text{Re}(z) \geq 0 \). Once \( z \) is determined, the imaginary part of the refractive index \( n \) will be solved by Eq. (3) and (4). The branch index \( m \) (integer value) of the logarithm function is then decided by the non-magnetic presumption. The effective permittivity and permeability can, hence, be directly calculated from the refractive index \( n \) and the impedance \( z \) by \( \varepsilon_{\text{eff}} = n/\varepsilon_0, \mu_{\text{eff}} = nz \).

2. Retrieval from either \( S_{11} \) or \( S_{21} \)

It is important to notice that for nonmagnetic materials with the assumption \( \mu_{\text{eff}} = 1 \), when a plane wave is normally incident on a homogeneous slab with thickness \( d \), the gap between S-parameters and medium parameters \( \varepsilon_{\text{eff}} \) can also be bridged by the following equations,

\[
S_{11} = \frac{R \left( 1 - e^{-j\sqrt{\varepsilon_{\text{eff}}} kd} \right)}{1 - R^2 e^{-j\sqrt{\varepsilon_{\text{eff}}} kd}}. \tag{5}
\]

\[
S_{21} = \frac{R^2 e^{-j\sqrt{\varepsilon_{\text{eff}}} kd}}{1 - R^2 e^{-j\sqrt{\varepsilon_{\text{eff}}} kd}}, \tag{6}
\]

where \( R = (z-1)/(z+1) = (1-\varepsilon_{\text{eff}}^{1/2})(1+\varepsilon_{\text{eff}}^{1/2}) \). Both Eq. (5) and (6) then become functions of only one variable \( \varepsilon_{\text{eff}} \) meaning either \( S_{11} \) or \( S_{21} \) contains sufficient information for the retrieval. In other words, this fact enables us to retrieve the effective permittivity from either one of S-parameters by solving the complex roots of Eq. (5) or (6).

3. Effective wavelength retrieval (EWR)

For a lossless slab, the FP resonances will occur when the thickness of the slab \( d \) is equivalent to an integer \( t \) multiple of one half of the effective wavelength of the field inside the slab. In this occasion, there is no reflection, i.e., \( S_{11} = 0 \).

From either the above condition or Eq. (5), we have \( \exp(-j2nkd) = 1 \), which gives the following equation,

\[
\varepsilon_{\text{eff}} = \left( \frac{t \lambda_s}{2d} \right)^2, \quad \text{for } t = 1, 2, 3... \tag{7}
\]

where \( \lambda_s \) is the free space wavelength at the FP resonance of order \( t \). Although this method is only valid for the retrieval at frequency points corresponding to the FP resonances, it provides a good comparison and validation for the results by other retrieval approaches.

In particular, the FP resonance and its influence on the retrieved results are usually neglected in the previous literature, partially due to the narrow retrieval frequency band. Another major factor is that the test samples are usually lossy materials, such as various kinds of metamaterials.

B. The lattice case — \( ka-\beta a \) method

The frequency dependence of the \( \varepsilon_{\text{eff}} \) of the infinite simple cubic lattice can be addressed as long as the \( ka-\beta a \) dispersion diagram is obtained, given that the effective wave number \( \beta \) is related to \( k \) by \( \beta = k \varepsilon_{\text{eff}}^{1/2} \).

For an infinite lattice composed of nonmagnetic materials, the following eigenfunction can be derived from Maxwell equations [16],

\[
\nabla \times \left( \frac{1}{\varepsilon(r)} \nabla \times H(r) \right) = \left( \frac{\omega}{c} \right)^2 H(r), \tag{8}
\]

where \( H(r) \) denotes the magnetic field pattern of the harmonic mode, \( c \) is the free space light speed and \( \omega \) represents the eigenfrequency. Only the TEM mode \( H(r) = H_0 e^{j\beta a} \) needs to be considered here. Then according to Eq. (8), under a certain propagation direction, the eigenfrequencies \( \omega \) (or \( k \)) can be calculated by giving different phase shifts \( \beta a \). The desired \( ka-\beta a \) dispersion diagram can thus be generated. In CST MWS, a certain propagation direction can be specified by...
systematically varying the three phase shifts $\beta_x, \beta_y, \text{ and } \beta_z$ between the periodic boundary pairs in the $x, y,$ and $z$ directions. Three different propagation directions are considered in this paper for the simple cubic lattice — along the edge, the surface diagonal, and the volume diagonal of the cubic unit cell. The computed field pattern is then utilized to identify the direction of the retrieved $\epsilon_{\text{eff}}$.

IV. RESULTS AND DISCUSSION

For the quasi-dynamic homogenization, an important parameter is the number of unit cells in one effective wavelength $\lambda_{\text{eff}}$ at a certain frequency. We define the effective wavelength by reducing the free-space wavelength according to the static Rayleigh prediction, $\lambda_{\text{eff}} = \lambda/(\epsilon_{\text{Ray}})^{1/2}$. But since the frequency dependence of $\epsilon_{\text{eff}}$ is also of our interest, we normalize the frequency to $f_{20}$, which denotes the frequency when the reduced wavelength is 20 times the length of the unit cell, $\lambda_{\text{eff}} = 20a$.

A. Compensation method

For the composite with $p = 0.1$, $\epsilon_i = 10$, and $\epsilon_e = 1$ shown in Fig. 1, the comparison of the retrieved effective permittivities by four S-parameter-based methods is visualized in Fig. 2. According to Eq. (1), the Rayleigh $\epsilon_{\text{eff}}$ for such a mixture is roughly 1.2434 (green dotted), and at low frequency all the results are in good agreement with this value. As the frequency increases, the effective permittivities gradually deviate from the static prediction and grow as expected. However, for the NRW (black solid), the FP resonances appear at 2.9851, 5.9617, 8.921, 11.851, and 14.738 GHz, and there is clearly a systematic leap following each FP resonance. For the S 11 method (blue dot-dashed), the retrieved permittivity presents a small variation around the more stable results by the S 21 approach (red dashed). As for the EWR (black dots), the results not only coincide as expected with those by S 11 since the EWR is actually a special case of the S 11 method, but follow closely those by the S 21 method. The EWR therefore provides a good confirmation of the validity of the S 21 method.

As shown in Fig. 2, the presence of the FP resonance prevents us from utilizing the results by the conventional NRW technique. In order to compensate its influence, the $\mu_{\text{eff}}$ by the NRW is also shown in Fig. 3. It can be seen that although we use the condition that $\mu_{\text{eff}}$ is closest to unity to settle the branch index $m$, the retrieved $\mu_{\text{eff}}$ leaps away from 1 after each FP resonance. A further investigation into the calculated $n$ and $z$ indicates that the ill-retrieved $z$ causes the abnormal leaps of the $\epsilon_{\text{eff}}$ and $\mu_{\text{eff}}$, while the $n$ displays reasonable frequency dependence. The effective permittivity can hence be calculated by $\epsilon_{\text{eff}} = n^2$ instead of $\epsilon_{\text{eff}} = n/z$, provided the nonmagnetic assumption $\mu_{\text{eff}} = 1$.

Figure 4 clearly illustrates that the compensated result has an excellent agreement with that by the S 21 method. It should be noticed that since the electrical size of the dielectric inclusion (whose permittivity is reasonably low) is so small that the magnetic response can be
neglected, and since no other assumptions are applied, the compensation method does make physical and numerical sense. In addition, the compensated $\varepsilon_{\text{eff}}$ is not exactly the same as that by the $S_{21}$ method, suggesting that these two methods are independent of each other.

In particular, the unstable retrieval by the $S_{11}$ method in Fig. 2 inspires us to study the imaginary parts of the $\varepsilon_{\text{eff}}$, respectively, by the $S_{11}$ and $S_{21}$ methods. Figure 5 illustrates that the $S_{21}$ method is superior to the $S_{11}$ method in that it manages to present clearly smaller values for the imaginary part of the $\varepsilon_{\text{eff}}$ for this lossless mixture. This point shows that the $S_{11}$ method is not suitable for such small reflection cases.

Figure 6 visualizes the retrieved $\varepsilon_{\text{eff}}$ for different wave propagation directions, and its legend provides not only the direction of propagation but also that of the field polarization. The ‘SD–VD’ (short for surface diagonal–volume diagonal), for instance, denotes the case when a plane wave travels along the surface diagonal of the cubic unit cell with a volume-diagonal-polarized electric field. As can be seen, the retrieved permittivities converge to the static Rayleigh prediction when the frequency decreases. For small values of $ff_{20}$, the composite looks very isotropic, and the spatial dispersion becomes more apparent as the value of $ff_{20}$ increases over 2, i.e., $a/\lambda_{\text{eff}}$ is larger than 1/10. Another interesting observation is that in this 3D scenario, waves propagating in different directions with the electric field in the same direction will result in the same dispersion curve. As shown in Fig.6, the ‘Edge–Edge’ curve agrees well with the ‘SD–Edge’ curve. Moreover, the dispersion curve when the electric field is polarised along the edge deviates most from the dotted curve predicted by the Rayleigh mixing rule, while the volume-directed electrical field leads to least deviation. The dispersion curve resulting from a surface-diagonal-directed electrical field lies between these two utmost cases.

**B. ka–$\beta$ retrieval and spatial dispersion**

![Fig. 4. The compensated result to the NRW method compared with those by the other three S-parameter-based approaches for the composites with $p = 0.1$, $\varepsilon_i = 10$, and $\varepsilon_e = 1$.](image1)

![Fig. 5. Comparison between the imaginary part of the retrieved $\varepsilon_{\text{eff}}$ by only $S_{11}$ and that by only $S_{21}$.](image2)

C. Frequency dependence of $\varepsilon_{\text{eff}}$ and quasi-static approximation limit

1. Frequency dependence of $\varepsilon_{\text{eff}}$

![Fig. 6. The retrieval results for different directions of propagation when $p = 0.1$ and $\varepsilon_i = 10$. Note that the relative difference $|\varepsilon_{\text{Edge–Edge}} - \varepsilon_{\text{SD–VD}}| / \varepsilon_{\text{Ray}}$ is less than 1% up to 5 in terms of $ff_{20}$ (SD and VD stand for surface and volume diagonal).](image3)
The one-principal-axis homogenization of the 9-layer slab ($y$ direction) and the infinite simple cubic lattice (along the edge) are analyzed and shown in Fig. 7. The result shows that the permittivities of these two composites are in good agreement with one another, which further confirms the validity of all the presented $\varepsilon_{\text{eff}}$ retrieval methods. In order for further validation, the $\varepsilon_{\text{eff}}$ of these composites with the similar geometry but larger inclusions ($p = 0.3$) are considered. As shown in Fig. 8, the $\varepsilon_{\text{eff}}$ of the slab along $y$ direction and that of the lattice along the cube edge have a good match. Moreover, stronger spatial dispersion is observed, and the relative difference $|\varepsilon_{\text{Edge-Edge}} - \varepsilon_{\text{SD-VD}}| / \varepsilon_{\text{Ray}}$ is less than 1% up to 3.24 in terms of $f/f_{20}$.

It is also noted from Fig. 8 that the $S_{11}$ method still seems unstable but the variation is much smaller than in Fig. 7 due to the increase of the targeted effective permittivity. For smaller $p$ and moderate $\varepsilon_i = 10$, $S_{11}$ is very small in amplitude and close to zero. When $p$ increases, $S_{11}$ becomes larger and thus less sensitive to the errors. So the tolerance of the $S_{11}$ method could be improved as sufficiently large $S_{11}$ is encountered, for instance, when the composites with a larger $p$ or higher permittivity contrast are considered.

2. Quasi-static approximation limit

These results provide us possibilities to address the question regarding the limitations of the quasi-static homogenization principles for these dielectric composites with relatively small permittivity contrasts and volume fractions.

It is true that the homogenization with the effective constitutive parameters is in essence an approximation process, and may become less meaningful in the rigorous sense when the geometry details of the composites are not sufficiently small compared with the free-space or effective wavelength inside [8, 17]. However, it will be still interesting to quantitatively explore the limitation of the quasi-static approximation in a quasi-dynamic range by defining some criterion. In this paper, the relative difference between the retrieved $\varepsilon_{\text{eff}}$ and the static Lord Rayleigh prediction $\varepsilon_{\text{Ray}}$ is chosen as a proper target to be investigated, i.e., $|\varepsilon_{\text{eff}} - \varepsilon_{\text{Ray}}| / \varepsilon_{\text{Ray}}$. 

![Fig. 7. The comparison among all the retrieved permittivities by different methods for $p = 0.1$ and $\varepsilon_i = 10$.](image1)

![Fig. 8. The comparison among all the retrieved $\varepsilon_{\text{eff}}$ by different methods for $p = 0.3$ and $\varepsilon_i = 10$.](image2)

![Fig. 9. The relative difference between all the retrieved permittivities and the static Rayleigh prediction for $p = 0.1$ and $\varepsilon_i = 10$.](image3)
Figures 9 and 10 illustrate the relative differences between the retrieved \(e_{\text{eff}}\) and the static prediction \(e_{\text{Ray}}\) for different \(p\). Let us regard 1% relative difference as a satisfactory tolerance, and define the limit frequency meeting this criterion as \(f_L\). Thus, the quasi-static approximation limit is denoted as \(f_1/f_{20}\). Then for composites of our interest with different \(p\), the one-principal-axis quasi-static approximation can be considered to be valid up to 3.5 and 2.1 in terms of \(f_1/f_{20}\) correspondingly \(d/\lambda_{\text{eff}} \approx 1/5.7\) and \(1/9.5\). Within these limits, the relative difference between the \(e_{\text{eff}}\) along the edge and the volume diagonal, i.e., \([e_{\text{Edge-Edge}} - e_{\text{SD-VD}}] / e_{\text{Ray}}\) is also less than the 1% satisfactory tolerance for the cubic lattice. As a result, the spatial dispersion can be neglected below these quasi-static limits.

To grasp the dependence of this limit on the inclusion properties, more composites, similar to that shown in Fig. 1 but with different \(e_i\) and \(p\), are considered and the computed limits \(f_1/f_{20}\) are shown in Table 1. It is shown that this limit decreases with the increase of either the permittivity contrast or the inclusion volume fraction. That is, for increasing frequency, the quasi-static approximation will lose its predictive power more quickly for the composite whose inclusions have stronger interactions.

3. Quasi-static limit for one-dimensional lattice

The computational complexity of the 3D simulation prevents us from any exhaustive analyses for different \(p\) and \(e_i\). In order to confirm the results above, a computationally inexpensive 1D periodic lattice in Fig. 11 is considered, whose dispersion equation reads [18],

\[
\cos(\beta d_1) = \cos(k_1 d_1) \cos(k_2 (d_2 - d_1)) - \frac{e_1 + e_2}{2 \sqrt{e_1 e_2}} \sin(k_1 d_1) \sin(k_2 (d_2 - d_1)),
\]

where the wave numbers are \(k_1 = k (e_i)^{1/2}\), \(k_2 = k (e_2)^{1/2}\), the volume fraction of the material with \(e_i\) and thickness \(d_i = p d_i/d_2\) and \(d_2\) is the unit cell width. The frequency dependence of the \(e_{\text{eff}}\) can therefore be calculated according to \(\beta = k e_{\text{eff}}^{1/2}\).

We can then find out in a similar way the quasi-static limit as a function of full sets of \(p\) and \(e_i\). In this case, the satisfactory tolerance is defined as 1% deviation of the quasi-dynamic \(e_{\text{eff}}\) from the Maxwell Garnett prediction \((e_{\text{MG}} = p e_i + e_2 - p e_i)\), i.e., \(|e_{\text{eff}} - e_{\text{MG}}| / e_{\text{MG}}\). The comparison between Table 1 and Fig. 11 shows that for the same choice of \(p\) and \(e_i\), the quasi-static limits \(f_1/f_{20}\) for the 3D and 1D composites are close to each other despite the obviously geometrical differences. Figure 11, also, supports our remarks in the previous subsection that the increase of the permittivity contrast will reduce the \(f_1/f_{20}\) but it does not change monotonously with the volume fraction \(p\) for this 1D lattice. The reason we do not observe a similar phenomenon in 3D composites can be explained.

<table>
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<th>(e_i)</th>
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<td>7</td>
<td>3.5</td>
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Fig. 11. \(f_1/f_{20}\) as a function of \(p\) and \(e_i\) for a 1D lattice with \(e_2 = 1\).
as follows. If clusters are not allowed, the maximum \( p \) of such composites is about 0.52, with which the \( \varepsilon_i \) cannot dominate the \( \varepsilon_{\text{eff}} \). The Rayleigh result, for example at \( p = 0.5, \varepsilon_i = 1, \) and \( \varepsilon_i = 60, \) is roughly 4.52, which still inclines toward the host permittivity \( \varepsilon_a \). Thus, we are in a region similar to the green area in Fig. 11, where \( f_{L}/f_{20} \) decreases monotonously with increasing \( p \).

V. CONCLUSION

The quasi-dynamic approximation of simple composites is studied. By comparing the retrieved quasi-dynamic \( \varepsilon_{\text{eff}} \) with the static Lord Rayleigh prediction, the frequency limit of the quasi-static approximation is then considered.

Moreover, different homogenization methods are developed and validated by a comparison of all the retrieval results. For the slab composite, the conventional NRW method will give rise to FP resonances distorting the result. We present a compensation approach to counteract such an influence, which yields the result matching that by the \( S_{21} \) method. The retrieval method involving only \( S_{21} \) is a more broadband approach than the others utilizing \( S_{11} \), particularly for these low reflection cases. It also deserves to be mentioned that the retrieval method from only one of the \( S \)-parameters may be unstable when the desired permittivity varies over a large dynamical scale, since the algorithm utilized to seek complex roots of Eq. (5) and (6) is sensitive to the initial guess. In particular, at the transparent window when \( S_{11} \) equals zero, the \( \varepsilon_{\text{eff}} \) can be separately calculated using the EWR method, which provides a good validation for other retrieval techniques.

For the infinite lattice, the spatial dispersion is smaller than the deviations from the static Rayleigh prediction, shown in Figs. 6 and 8. This phenomenon gives us the possibility of defining a dynamic effective permittivity different from the static one and yet relatively independent of the propagation direction in the quasi-dynamic range.

Finally, the quasi-static approximation limits \( f_{L}/f_{20} \) are calculated for the composites with similar geometry but different permittivity contrasts and inclusion volume fractions, according to the criterion that \( |\varepsilon_{\text{eff}}-\varepsilon_{\text{Ray}}| / \varepsilon_{\text{Ray}} \leq 1\% \). Unfortunately, we fail to establish such a definition of the limit that becomes parameter-independent for these composites. It is, however, interesting to find out that when the frequency increases, the stronger the interactions among inclusions are, the quicker the quasi-static approximation will lose its predictive power for the \( \varepsilon_{\text{eff}} \) of the mixtures, if clusters are not allowed. A supplementary 1D lattice is analogically studied to confirm our conclusion. Our parallel work [19] focuses on the influence of finite slab thickness on the homogenization and the characterization of different layers comprising the slab, whose geometry setup is shown in Fig. 1.

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REFERENCES


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