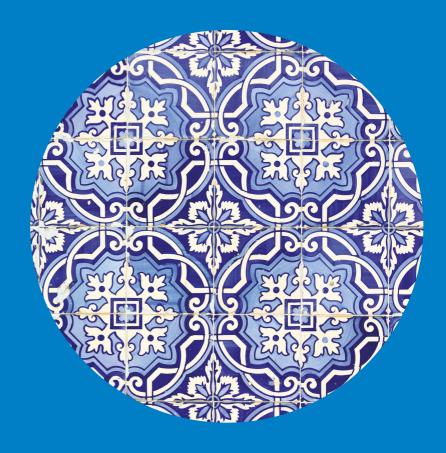
# Being different while being similar: Entrepreneurial ventures and the pursuit of legitimacy

**Fernando Pinto Santos** 





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Being different while being similar: Entrepreneurial ventures and the pursuit of legitimacy

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#### **Abstract**

Legitimacy is a judgment about an entity's appropriateness and/or desirability within the established beliefs and norms of a social setting. Attaining legitimacy generally enhances organizational survival since audiences are more likely to support firms that are judged as legitimate. Legitimacy is especially relevant for entrepreneurial ventures given that these organizations need to attract resources for growth. Thus entrepreneurs commonly engage in communication activities that seek to influence audiences' judgment of their firms' legitimacy. An important body of scholarly work has addressed how the legitimacy of entrepreneurial ventures is pursued but current understandings on the discursive aspects of this pursuit continue to be limited.

The main purpose of my study has been to develop a rich understanding of how entrepreneurs discursively strive to legitimate their firms. My dissertation is composed of three essays, each one based on the case of an entrepreneurial venture and focusing on a particular facet of discursive legitimation. Essay 1 is based on the study of a new firm in the mobile game industry and addresses the discursive strategies employed by entrepreneurs. In particular, this essay reveals how entrepreneurs seek to legitimate their organization by claiming both conformity to the organization's field and distinctiveness from it. In essay 2, I delve specifically into stories as legitimation strategies. Over time, stories can become misaligned with changed circumstances such as the development of new capabilities, or changes in the competitive environments. While entrepreneurs may revise their ventures' stories to cope with these changes, preserving the continuity of the foundational story that ensured success in the first place is essential to avoid scepticism from audiences. In essay 2, I study this tension between continuity and change. Finally, essay 3 is based on the case of a new venture in the fashion industry. Aiming at gaining the support of investors and business partners, the entrepreneurs employed verbal and visual discursive strategies to strive for legitimacy. In particular, I identified and explored two strategies grounded in the visual mode of communication.

My research adds to the current literature by characterizing the discursive strategies employed by entrepreneurs in the pursuit of their ventures' legitimacy. These strategies are based on both the verbal and the visual modes of communication. Importantly, my study reveals that a tension between distinctiveness and similarity underlies the challenges faced by entrepreneurs when pursuing the legitimacy of their organizations, and sheds light on these challenges.

**Keywords** entrepreneurship, discourses, discursive strategies, identity, legitimacy, legitimation, materiality, narratives, organizations, stories, visual communication.

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My doctoral journey has evolved between the international business (IB) unit, the international design business management (IDBM) programme and the organization and management unit. I'm grateful to many people whom I have been lucky to meet and learn with in this interdisciplinary and eclectic environment. Thank you to Kristiina Mäkelä and Tiina Ritvala, former heads of the doctoral programme at IB, as well as Carl Fey, the current head of the programme. A special thanks to Perttu Kähäri, Anton Beletskiy, Lucas Humphries, Piyali Rudra, Max Dike, Tuuli Hakkarainen, Alexei Koveshnikov and Elizabeth Rose for their comments on my research in countless workshops and seminars over the years. Thanks also to Irina Mihailova, Sami Itani, Virpi Outila, Daria Kautto, Hertta Vuorenmaa, Kalliopi Platanou, Anne Quarshie, Rilana Riikkinen, Piia Heliste and Iiris Saittakari. From day one, IB has been a true community where, regardless of degree of seniority, we all feel like colleagues. The paper development workshops and the seminars in the IB unit, as well as many other more informal gatherings over time, have been of seminal importance to my growth as a scholar.

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Helsinki, 16th November 2017 Fernando Pinto Santos Dedicado à minha mulher, filhos e mãe.

"O horizonte lá longe Tudo o que o olho alcançar

E o que ninguém escutar Te invade sem parar Te transforma sem ninguém notar Frases, vozes, cores Ondas, frequências, sinais O mundo é grande demais

Coisas transformam-se em mim Por todo o mundo é assim

Isso nunca vai ter fim"

Chuva no mar Marisa Monte / Arnaldo Antunes

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## 1. Prologue: what's the story?

Helsinki, autumn 2013. Cold and windy day. No surprise here, it's Helsinki, the most northerly of continental European capitals. I'm sitting in the bar of the hotel Radisson Blu with two strangers I have just met. An earlier search via Google on "brands from Finland" turned up the name Veen, which I had never heard before. Veen is an entrepreneurial venture founded in 2006 that sells water from Lapland, essentially to international markets. A few e-mails later, and there I was meeting the General Managers of Veen. This was the very first interview of my doctoral research and the following was my first question:

"How did the idea of the brand first appear?"

Yes, at the time I was very much interested in marketing communication and its relation to organizational identity. The answer from one of Veen's general managers was:

"The idea came about by the two original founders of the brand. So, there are two Finnish guys... one's background was advertising and the other one... was finance. They were very good friends and they basically came up with the idea sitting in the sauna one day. And at the time... they realized that there was no Finnish brand of water in the premium sector and all the brands in the market at the time were only doing plastic. And... and so they come about this talk: listen... why don't create a brand from Finland? And actually at the same time that year... the U.N. has done a world water assessment on the quality of water and Finland was voted to have the best quality of water in the world. So, having read that report and having seen that the market really didn't have any... premium brands they decided: why not to create a brand from Finland that is in glass bottles for the premium end of

the market? Because we have such great water in Finland. And that's how the idea of Veen was originally born."

Without realizing this at the time, this answer and the ones that followed that afternoon were quite influential on my doctoral project. What I was not able to grasp then, and what in fact took me some time to realize, is that pervading the organizational identity articulated by Veen's entrepreneurs there was the pursuit for the legitimacy of their venture. Being interested for a long time in organizational identity issues, I was intrigued by how the entrepreneurs seemed to be so concerned in explaining the existence of their ventures and describing their activities as somehow credible and needed. In their interviews with me, but also with the media and in public presentations, the entrepreneurs were actively engaged in justifying their business activities. Furthermore, the entrepreneurs seemed to be systematically explicating their perspectives with particular discursive mechanisms. While carrying out the interviews, I remember taking notes on how the entrepreneurs argued that their business options were coherent, and that their venture's activities were just natural or simply the right thing to do. This kind of claim, based on rationality, naturalization and morality gradually became more and more intriguing to me as I became engaged with new companies and continued with data collection. Some of the discursive mechanisms identified throughout the time I have been involved in this project were curiously already present in Veen's general manager's first answer, above.

In his answer, we have, first of all, a story format. There is a temporal sequence of events (two friends meet, they launch a brand), with identification of characters, place (a sauna? could this be more Finnish?) and story themes (quality of the water, premium product). This simple story underpins the ideas presented to justify the venture's creation. Secondly, one can also grasp an idea of naturalization: in the account above everything is described as almost effortless, things happened in a spontaneous way. Third, we have rationalization: the United Nations stated that the quality of the water in Finland was high. It was thus argued that it was logical to launch such high-quality water. Implicitly there was even a fourth discursive strategy: moralization. It is claimed that there was no premium water in Finland in glass bottles (more ecological than plastic ones). If Finland has such high-quality water wouldn't it be better to launch a premium glass bottle of high quality water than not to do it? Again, naturalization pervades the claim.

The interview with the Veen managers became the first of a series of interviews spread out throughout the time taken by this project. Veen became the first case study of my doctoral research. I followed Veen's activities for over three years, and in addition to interviews, I gathered extensive material about the venture, such as communication, internal documents and news in the media. Gradually, I also became engaged with two other entrepreneurial ventures in Finland: Lovia, operating in a niche market in the fashion industry; and Playraven, a venture in the mobile game industry. I started to develop qualitative case studies on these two ventures. Having gained access to the founders of the ventures I started visiting the firms, attending events related with these, and interviewing the entrepreneurs and other people working in the organizations. I have followed these 3 cases for different periods of time, but I was engaged with each one of them for over two years. The cases are presented with the real names of the organizations.

In the extended period of fieldwork, my process of research evolved upon a recursive interaction between the empirical world and the scholarly literature: the emerging findings of the case studies directed my attention to specific aspects of the literature that in turn impacted the subsequent data collection activities and analysis. Thus while my initial interest in the fieldwork revolved around marketing communication and organizational identity issues, legitimation emerged as something increasingly intriguing to me and I decided to follow that path, thus changing the initial research focus. Even before having read systematically about entrepreneurial legitimation, I clearly remember identifying and writing "legitimacy" next to interview transcripts and copies of internal documents. It became evident to me that there was a – sometimes implicit and other times quite explicit - pursuit of legitimacy in the texts I was collecting. I realized that for the entrepreneurs, projecting credibility and some sort of justification for their venture's existence and activities was a recurrent theme in the data. I decided to explore this further. I discovered academic articles on entrepreneurial legitimation, and seminal pieces like that of Lounsbury and Glynn (2001), which focused on entrepreneurship, stories and resource acquisition, became highly influential in the ongoing process of research. Consequently, and having gained what Alvesson and Sköldberg (2009) call a theoretical sensitivity, I went back to the fieldwork on the empirical cases' sites and continued to explore the legitimation efforts of the ventures.

Interested in finding out more about how entrepreneurs were discursively pursuing legitimacy within the wider identity construction and communication efforts of their ventures, I decided to set as my research goal to explore these issues. Ultimately, this dissertation is the result of these endeavours. Thanks for joining them as a reader of my work.

# 2. Overall purpose and quality of the study

How organizations attain legitimacy has been a fundamental concern of scholars in the business domain (Deephouse and Suchman, 2008). Legitimacy can be understood as the judgment that the actions of an entity are appropriate and/or desirable within some social system of norms and beliefs (Zimmerman and Zeitz, 2002; Suchman, 1995; Deephouse and Suchman, 2008). Thus legitimacy signifies alignment with field expectations and understandings (Scott, 1995).

Previous studies have shown that entrepreneurs engage in communication activities that seek to convince key resource providers such investors, business partners and future employees of their new venture's legitimacy (Aldrich and Fiol, 1994; Lounsbury and Glynn, 2001; O'Connor, 2002; 2004; Martens et al., 2007; Navis and Glynn, 2011). Since new ventures are commonly characterized by a lack of track record and reputational information, as well as by limited resources such as personnel, capital and consumer goodwill, entrepreneurs try to influence audiences' interpretations of their venture's potential in order to obtain their support (Lounsbury and Glynn, 2001; Rindova and Kotha, 2001; Zimmerman and Zeitz, 2002; Überbacher, 2014). While legitimacy ultimately results from audiences' judgments, legitimation refers to the purposive efforts made to accomplish it, since actors do have agency to try to influence these judgments (Suchman, 1995; Bitektine, 2011).

#### 2.1 Purpose of the study

The purpose of my study is to develop a rich understanding of how entrepreneurs discursively strive to legitimate their entrepreneurial ventures. The research focus is positioned at an organizational level. I will not address, for ex-

ample, how entrepreneurs pursue their own personal legitimacy or how institutional fields are legitimated. Furthermore, and as will be explained ahead, the focus of my research is on legitimation efforts developed by the ventures and not how legitimacy is established or perceived by audiences. The table below (table 1) synthesizes these foundational aspects of my research.

| Phenomenon of               | Entrepreneurial ventures' legitimation.           |
|-----------------------------|---|
| study                       |   |
| Level of analysis           | Organizations.                                    |
|                             |   |
| <b>Purpose of the study</b> | Develop rich understanding of how entrepre-       |
|                             | neurs discursively strive to legitimate their en- |
|                             | trepreneurial ventures.                           |

Table 1. Phenomenon of study, level of analysis and purpose of the study.

My work is positioned in a particular research path that addresses the 'cultural agency' of entrepreneurs and this is the tradition upon which this research builds and to which it contributes. The concept of 'cultural agency' can be traced back to Lounsbury and Glynn's (2001) work on new ventures' legitimation and 'cultural entrepreneurship'. This seminal work offered an alternative to studies based on economic and rationalist approaches. Culture is here envisioned in broad terms as an interpretative framework and a set of tools that can be strategically deployed as actors make sense of the world (Lounsbury and Glynn, 2001). As Weber and Dacin (2011, p. 3) explain: cultural resources "include widely recognized schematic identities, frames, roles, stories, scripts, justifications, and moralities. The common 'cultural register' of resources at the collective level then serves as a resource that enables different 'cultural repertoires' at the actor level". Thus a cultural agency perspective highlights entrepreneurs' use of cultural resources in the pursuit of their ventures' legitimacy.

Two premises have been foundational to studies on a 'cultural agency' path of inquiry. Firstly, a cultural perspective is rooted in both identity and institutional theories. Ventures' identities have to be distinct but at the same time, as institutionalists remind us, these firms must conform, to some degree, to the wider social context to be regarded as legitimate. Scholars have advanced the concept of "legitimate distinctiveness" to articulate this tension between distinctiveness and conformity (Navis and Glynn, 2011; Voronov et al., 2013).

Secondly, studies on a 'cultural agency' tradition are embedded in the discursive communities or fields in which entrepreneurs operate, discourses thus being central in legitimation (Cornelissen and Clarke, 2010).

Early research on 'cultural agency' focused mostly on stories as cultural artifacts employed to convince audiences of new ventures' legitimacy. The terms "story" and "narrative" have somehow been employed interchangeably in previous studies. As my essay 2 shows in detail, I regard narrative in a broader sense than the one of story. For the sake of simplicity, I will give primacy to the term story in this dissertation's introduction.

In recent years, studies in a 'cultural agency' tradition have evolved beyond stories and have been addressing a variety of cultural resources in use such as frames, vocabularies, rhetoric and institutional logics. However, a number of challenges continue to pervade studies that build on a tradition of 'cultural agency' and legitimation. Research has shown that entrepreneurs use legitimation discourses to convince audiences to supply different kinds of resources. And although we know why legitimacy is pursued, understanding how this is done in discursive terms is still a challenge. Previous literature has suggested that entrepreneurial legitimation discourses should: (1) articulate legitimacy claims (Lounsbury and Glynn, 2001; Navis and Glynn, 2011); (2) project the identity of the firm (Martens et. al, 2007; Navis and Glynn, 2011); (3) explain the existence of the organization (O'Connor, 2002; 2004); and (4) express expectations that invite audiences to imagine the future (Garud, Schildt and Lant, 2014). In addition to defining the kind of content that should be expressed in legitimation discourses, a few recent studies have started to address how this content can be articulated: metaphors, analogies and arguments have been highlighted as relevant to pursuing legitimacy discursively (Cornelissen and Clarke, 2010; Cornelissen et al. 2010; Etzion and Ferraro, 2010; van Werven et al., 2015).

However, current understandings on the discursive aspects of entrepreneurial legitimation continue to be very limited (Cornelissen and Clarke, 2010; Überbacher, 2014). This lack of a fine-grained view of discourses limits the capacity to push the research on entrepreneurial legitimation forward. Furthermore, and since effective legitimation management is of uttermost importance for entrepreneurial ventures' access to resources, this theoretical challenge is

also one of especial relevance for practitioners (Zimmerman and Zeitz, 2002; Fisher et al., 2017). If we have limited understanding of how to construct legitimation discourses, how can we make legitimation and subsequent resource acquisition more effective?

A more thorough understanding of the micro-discursive aspects of legitimation is thus critical in both theoretical and practical terms. This is the main challenge to be tackled by this study, and each of the essays is focused on a particular facet of it. I will now explain how I have developed the three research questions of my essays.

#### 2.2 Research questions

The tension between conformity and distinctiveness is paramount in a 'cultural agency' perspective on legitimation. Ventures must conform to their fields to be regarded as legitimate but must also show some distinctiveness to convince audiences of their strategic difference (Lounsbury and Glynn, 2001; Navis and Glynn, 2011). This tension underlies entrepreneurial legitimation (see the concept of legitimate distinctiveness, e.g. Navis and Glynn, 2011; Voronov et al., 2013) and it thus important to know more about how it is handled in discursive terms. Thus I formulated the following research question:

How do new ventures handle the tension between conformity to, and distinctiveness from, their institutional contexts, when discursively striving for legitimacy?

Essay 1 addresses this question by connecting across the organizational and the institutional levels. The broad scope of the essay allows highlighting the institutional dimension of legitimation. Also, by mobilizing different theoretical resources in the development of essay 1, I was able to identify issues that motivated my further inquiries. These include the discursive strategies employed by entrepreneurs, as well as matters related with temporality and materiality in legitimation activities.

Essay 2 addresses a particular discursive strategy that was identified in essay 1: stories. Previous literature in a 'cultural agency' tradition has also often

highlighted stories as central in entrepreneurial legitimation (Lounsbury and Glynn, 2001; Garud, Schildt and Lant, 2014). Recent work theorizes how stories evolve as the entrepreneurial journey unfolds (Garud, Schildt and Lant, 2014). However, the dynamics remains under-explored empirically. Over time, stories can become misaligned with changed circumstances and while entrepreneurs may revise their ventures' stories to cope with these changes, preserving the continuity of the foundational story that ensured success in the first place is essential to avoid scepticism from audiences. This led me to elaborate the following research question:

How do entrepreneurs manage the tension of changing their venture's stories to face changed circumstances, while also preserving continuity?

Essay 2 concentrates on this question and starts by establishing a clear-cut framework for the discursive elements entailed in a story. From this framework, the paper proceeds to the analysis of how these story elements can be revised over time.

Finally, most of the current studies on legitimation address verbal texts, which hinders the understanding of how entrepreneurial legitimacy is pursued with other modes of communication. In particular, discursive strategies of legitimation have been explored in the verbal mode of communication (Meyer et al., 2013). However, especially with the ascendency of digital documents and online environments, communication is increasingly also visual and this is also the case with legitimation (Meyer et al., 2013; Vaara et al., 2016). Furthermore, there is not only an increase in the use of visual texts but also in elements of novelty in the use of visual language in contemporary communication (Kress and Van Leeuwen, 1996; 2001; Kress, 2010; Meyer et al., 2013). Thus the understanding of how the use of visual texts impacts the legitimation of entrepreneurial ventures is a relevant and timely issue (Meyer et al., 2013; Vaara et al., 2016), which led me to devise the following research question:

How do entrepreneurs use the visual mode of communication to legitimize their new ventures?

In essay 3 I delve into this issue and inductively propose new legitimation strategies not yet identified in current literature. I have called these strategies credibilization and individuation. These strategies complement other discursive strategies based on verbal texts that have been identified in current studies.

One definition is important at this point. Following Carland et al. (1994) I regard entrepreneurial ventures as firms that engage in at least one of the following strategic activities: (1) introduction of new products or services; (2) employment of new methods of production; (3) opening up of new markets; (4) changing the structure of an industry. These features distinguish an entrepreneurial venture from other firms, such as traditional retail stores. Although some of the literature on legitimation specifically addresses new ventures, in my work I will focus both on new ventures and on ventures that have existed for some years. Thus in this dissertation I give primacy to the term entrepreneurial venture.

#### 2.3 Quality of the study

In this section I discuss how I strove to develop quality research, and explain the practices that have been foundational in this endeavour. I regard quality research as a synonymy of work that is relevant and credible in an academic community (Tracy, 2010; Bansal and Corley, 2011). I have decided to position this discussion in this section since I regard the pursuit of quality as foundational for my research project. Thus, it is an issue that underlies not only the methodological aspects of my studies, but also the whole process of research (Welch and Piekkari, 2017).

#### Prolonged engagement with empirical settings

The prolonged engagement with my empirical settings was particularly foundational for my pursuit of quality. In each of the three cases studied, the fieldwork extended over two years. These extended periods of time enabled me to develop a deep understanding of the cases. In particular, these prolonged periods offered the opportunity to continue collecting empirical material as the analysis and theorization processes evolved over time. My work was truly iterative and I continuously intertwined literature analyses, interpretation of empirical material and the writing up of texts with the collection of new material. Having an

extended period of fieldwork was thus essential to build this close interplay between theorization and empirical engagement, as time unfolded. Furthermore, the good access to the empirical settings allowed me to get back to key informants at different moments in time, and to build on emerging issues, as well as to confirm and develop my interpretations over time.

#### Variety of sources of empirical material

Accessing a rich set of different sources of empirical material was also critical to developing an in-depth understanding of my empirical cases. The prolonged fieldwork periods allowed me gradually to gain the trust of the entrepreneurs and obtain access to a variety of informants and sources of data like internal documents. With this variety of sources, I was able to capture multiple perspectives and consequently to embrace alternative and competing views.

#### **Transparency**

Another relevant aspect of my pursuit for quality was a concern for transparency. In terms of the empirical settings, stating the names of the firms was something that I deemed as important from the outset of my fieldwork. This allows readers to access further information about the empirical cases and to scrutinize my work, even more so because part of the empirical material that I build my theorization on is publicly available. Transparency was also central in terms of the methods employed and I have detailed the empirical material and how I have then developed the analysis of it. Furthermore, transparency is fundamental to disclosing how my theorization from the cases' findings unfolded and consequently to its being credible in terms of how the conclusions are grounded in the empirical world (Bansal and Corley, 2011). Importantly, transparency also enables my work to be criticized and challenged, as well as expanded in new research in the future.

#### Thoroughness in handling empirical material

Another key aspect of my pursuit for quality, related to the ability to be transparent about my work, was the procedures of being careful, organized and detailed in handling the empirical material. I have always documented the empirical material after having collected it and I took detailed notes to maintain its organization in an archive. These procedures were intended to avoid mistakes and also to make my process of research as efficient as possible. I also kept all the files that I have worked on over the years which allows me to have a record

of how my work evolved in its multiple dimensions. Also, having the totality of the empirical material in a digital format further strengthened the organization of this material and the ability to access it and work with it over time (Patton, 2015). This thoroughness in my work is probably the greatest value of my concern with transparency.

#### Writing notes and drafts of texts in a regular fashion

With the general purpose of facilitating my engagement with the cases and increasing my ability to theorize from them I have written numerous texts over time. These texts have ranged in size and format from for example ideas in bullet point lists, summaries and tables with findings, to more developed sections of papers that kept evolving, literally, over the years. These different texts enabled me to share my work with other scholars. Importantly, it was based on this plethora of different texts that I was gradually able to refine my ideas and their presentation, and ultimately develop the essays presented in this dissertation.

#### Involvement of other scholars

Many of the texts that I have just mentioned were shared with other scholars over time. I discussed them in many paper development sessions at Aalto University, particularly at the International Business Unit, but also at other institutions and events such as conferences. I also regularly shared these drafts with my advisors and with many other colleagues. This involvement of other scholars allowed me to be exposed to different opinions that helped me challenge and refine my own perspectives. In particular, these interactions enabled me to expand my range of theoretical perspectives and the ability to theorize from the cases. Finally, involving other scholars in my work brought new perspectives and ideas to my work.

#### Scepticism and self-criticism

Finally, assuming a posture of continuously questioning my research was particularly important to assure the quality of my endeavours. In their recent discussion on qualitative research, Welch and Piekkari (2017) offered the metaphor of a researcher as a "sceptic" to illustrate the posture of a scholar who is continuously open to self-criticism and who embraces the provisional and fallible nature of knowledge creation. This view resonates with the posture that I developed during my studies, I suppose mostly out of intuition. Being openminded and always reflecting on and challenging my own work was something

that underlay my research journey. Ultimately the goal with this posture was to develop plausible work that is trustworthy and that I can confidently stand for.

To conclude this section, I believe it is important to emphasize that my pursuit of quality has been motivated by the guidance of my advisors and stimulated in the doctoral classes I attended. Importantly, this pursuit has also been motivated during the years by countless interactions with many scholars in the academic community at Aalto University. Thus writing this section made even clearer to me the fact that the quality of my work, as well as the efforts made to pursue it, are ultimately very much related to the quality of the academic community of which I have been a part.

The remainder of this introduction to my dissertation is structured as follows: in the next section I present an overview of the literature. The ensuing section describes the ontological, epistemological and methodological foundations of this study. The theoretical and managerial implications, limitations and avenues for future research then follow. Finally, this introductory part of the dissertation ends with summaries of the essays and a brief epilogue.

#### 3. Overview of the literature

In this section I present an overview of key aspects of the literature that underlie this doctoral project. I start by introducing the concept of legitimacy in the management domain, and address key aspects related to it. I then move to the analysis of the different traditions on the study of legitimacy in the entrepreneurship domain. After positioning my own approach in existing studies, I explain what characterizes the line of inquiry I am pursuing. Finally, I conclude this section with a presentation of the literature on discursive strategies of legitimation.

#### 3.1 Legitimacy

Legitimacy is a social judgment about an entity's appropriateness and/or desirability within the established expectations, values, beliefs and norms of a social setting (Ashforth and Gibbs, 1990; Scott, 1995; Suchman, 1995; Zimmerman and Zeitz, 2002). In the management domain, previous research on organizational legitimacy has evolved within different theoretical traditions that include social movements, ecological, institutionalist and strategic management perspectives. I will now briefly address the latter two, since these are central in my research project.

Institutional theory stresses the fact that many organizational dynamics are influenced by the environment (Meyer and Rowan, 1977; Zucker, 1977). In particular, new institutionalists emphasize that socially shared assumptions and normative expectations about what are proper organizational structures and actions often influence organizational practices (Meyer and Rowan, 1977; Di-Maggio and Powell, 1983; DiMaggio and Powell, 1991). Legitimacy lies at the core of an institutional perspective: organizations seek legitimacy and support by adopting procedures that are consonant with institutions, i.e. widely ac-

cepted norms, beliefs and myths (Meyer and Rowan, 1977). An institutional perspective on legitimacy thus highlights the ways in which field dynamics exert pressures on organizations (Suchman, 1995).

A strategic management view of legitimacy adopts a managerial perspective and addresses the ways in which organizations instrumentally strive for legitimacy (Suchman, 1995). Works in this tradition regard legitimacy as an operational resource that can be managed in the pursuit of organizations' goals (Ashforth and Gibbs, 1990; Deephouse and Suchman, 2008). Since legitimacy is ultimately a judgment, legitimation is the term employed in a strategic perspective tradition. This term thus refers to the processes aimed at influencing the social construction of legitimacy (Zimmerman and Zeitz, 2002; Bitektine, 2011). Legitimation can be understood as a process of explaining why the organization does what it does (Meyer and Rowan, 1977) or of justification of the organization's role in the social system and its right to exist (Ashforth and Gibbs, 1990). In addition, the act of legitimizing or justifying is related to particular goals pursued by the organization (Van Leeuwen, 2007; Reyes, 2011).

To a large extent, the distinction between strategic and institutional approaches is a matter of perspective, with strategic scholars taking the perspective from the organization into the wider environment, whereas institutional theorists adopt the viewpoint of society and from there look at organizational dynamics (Suchman, 1995). Importantly, both traditions share a view of why legitimacy is critical to organizations: legitimacy enhances organizational survival since audiences are more likely to support firms that are judged as legitimate (Meyer and Rowan, 1977; Ashforth and Gibbs, 1990; Suchman, 1995; Deephouse and Suchman, 2008). Finally, many studies in these two traditions have treated legitimacy as a dichotomous concept: either an organization is legitimate or it is not (Zimmerman and Zeitz, 2002; Fisher et al., 2016).

Internal and external audiences of organizations are those who ultimately assess legitimacy. These audiences are both individual actors and collective ones. These latter include other organizations and field-level actors, like the media or regulators (Bitektine and Haack, 2015). Although ontologically it is individual actors who analyze and make judgments, it is often collective actors, such as associations and interest groups that form some sort of collective judgment of legitimacy (Bitektine and Haack, 2015). Attention to the differences

among audiences is important because different groups tend to have distinct interests and to use diverse criteria in assessing legitimacy (Ruef and Scott, 1998).

Two different types of audiences' judgments on legitimacy have been identified: cognitive legitimacy and evaluative legitimacy (Überbacher, 2014).

Although there are other possible categorizations on these judgments (see e.g. Suchman, 1995) a view of legitimacy as cognitive and evaluative offers a valuable framework that synthesizes the main traditions engrained in the literature. Cognitive legitimacy refers to assessments made based on comprehensibility and plausibility within their social context (Aldrich and Fiol, 1994; Zimmerman and Zeitz, 2002; Golant and Sillince, 2007; Überbacher, 2014). On other hand, evaluative legitimacy refers to the process by which audiences judge organizations as desirable or right, within given norms and laws (Aldrich and Fiol, 1994; Zimmerman and Zeitz, 2002; Golant and Sillince, 2007; Überbacher, 2014). While this theoretical division has been engrained in the literature, these two facets of legitimacy can be seen as fundamentally interrelated and complementary (Baum and Powell, 1995).

#### 3.2 Entrepreneurial ventures' legitimation

The study of legitimacy has become paramount in the field of entrepreneurship. Research on new ventures has highlighted the fact that these firms usually lack reputation and are characterized by limited past economic performance and by limited resources such as personnel, capital and consumer goodwill (Rindova and Kotha, 2001; Zimmerman and Zeitz, 2002; Überbacher, 2014). New ventures are thus constrained by their 'liability of newness' (Singh et al., 1986; Stinchcombe, 1965). To overcome this liability, new ventures need to convince different audiences, such as investors, employees, government agencies and partner organizations of their plausibility and potential (Lounsbury and Glynn, 2001; Navis and Glynn, 2011; Fisher et al., 2017).

The assessment of ventures' legitimacy, in particular, has been emphasized in previous studies as critical for attaining the support of audiences and consequently gaining access to resources (Aldrich and Fiol, 1994; Lounsbury and Glynn, 2001; Zimmerman and Zeitz, 2002; van Werven et al., 2015; Fisher et

al., 2016). Hence, legitimacy is seen as essential for new ventures' creation and growth. Moreover, as recognized in recent studies, legitimacy is also critical beyond the initial stages of ventures' activities (Garud, Schildt and Lant, 2014; Fisher et al., 2016). Ventures need to continue having access to resources as their activities expand and legitimacy is key in this regard.

The literature on legitimacy and entrepreneurship can be regarded within two broad approaches. An audience-based approach includes an institutional perspective that generally addresses how institutions influence the legitimacy judgments of audiences, and an ecological perspective that focuses on how legitimacy is affected by structural context (Überbacher, 2014). On the other hand, an actor-based approach essentially takes a strategic management perspective on legitimation and addresses how actors try to influence legitimacy assessments (Überbacher, 2014). Different traditions underpin studies with this strategic management perspective, and include (1) social movements; (2) impression management and symbolic activities and (3) cultural agency. The table below (table 2) positions these perspectives according to the two approaches identified.

| Studies'  | Theoretical perspec-  | Exemplary studies          |
|-----------|-----------------------|----------------------------|
| approach  | tive                  |                            |
| Audience- | Institutions          | Karlsson and Honig (2009)  |
| centred   |                       |                            |
|           | Ecological            | McKendrick and Carroll     |
|           |                       | (2001)                     |
| Actor-    | Social movement       | Weber et al. (2008)        |
| centred   |                       |                            |
|           | Impression management | Zott and Huy (2007)        |
|           | and symbolic actions  |                            |
|           | ·                     |                            |
|           | Cultural agency       | Lounsbury and Glynn (2001) |

Table 2. Entrepreneurial legitimation: approaches and theoretical perspectives.

A social movement perspective addresses the ways in which social mobilization contributes to the legitimation of new ventures (Überbacher, 2014). In contrast to this focus on collective action, studies building on impression management and symbolic activities, as well as on a cultural agency tradition, fundamentally take the individual organization as the unit of analysis. In turn, what sets these two research traditions apart is the kind of legitimacy evaluation intended

(Überbacher, 2014). As explained in the last section, previous research has built on two main (although complementary) types of legitimacy assessments: evaluative and cognitive. The research tradition on impression management and symbolic activities has essentially focused on evaluative legitimacy. Thus studies on this tradition have focused on how entrepreneurs communicate the desirability and attractiveness of their ventures in order to influence legitimacy assessments. In a different way, studies on a 'cultural agency' tradition have addressed legitimacy aimed at a cognitive kind of evaluation (Überbacher, 2014). Hence, these studies have generally focused on communication that makes the ventures' existence and activities comprehensible to their audiences.

As stated earlier, studies within a 'cultural agency' tradition are based on a strategic management view of legitimacy. These studies address how cultural resources, such as identities and stories, are employed in entrepreneurial legitimation discourses to make the venture comprehensible. Importantly, previous research has posited some key features of these discourses. First of all, legitimation discourses must articulate conformity: firms need to show that they are following institutionalized expectations (Navis and Glynn, 2011). Discourses must then show alignment with cultural understandings and beliefs about what is normative and appropriate (Lounsbury and Glynn, 2001).

Secondly, the content of legitimation discourses must also emphasize the ventures' strategic distinctiveness and competitive advantage (Lounsbury and Glynn, 2001; Voronov et al., 2013). This distinctiveness is usually embedded in the ventures' organizational identity: the constellation of entrepreneurial claims revolving around issues of "who we are" and "what we do" as a venture (Navis and Glynn, 2011). These two features — conformity and distinctiveness — are thus paradoxical: while ventures need to be aligned with expectations and beliefs, they also need to be distinctive from each other in order to be regarded as plausible (Zimmerman and Zeitz, 2002; Navis and Glynn, 2011). The concept of "legitimate distinctiveness" articulates this tension (Navis and Glynn, 2011; Voronov et al., 2013).

Thirdly, entrepreneurial discourses must present an explanation about why a particular venture exists (O'Connor, 2002; 2004; Van Leeuwen, 2007). This explanation positions the venture in the wider institutional field and makes its activities comprehensible (Garud, Gehman and Giuliani, 2014). Fourthly and

finally, discourses must set expectations about the future in order to convince audiences of the new venture's potential (O'Connor, 2002; Martens et al., 2007; Garud, Schildt and Lant, 2014).

These four aspects of discourses' content are highlighted in previous studies as elements commonly employed in legitimation. However, some of these aspects may be privileged in the communication practice of ventures, while others may be downplayed. Entrepreneurs might feel the need to emphasize a view of the future, when for example the venture is commercializing new technology that has not yet proved its applicability or profitability (Garud, Schildt and Lant, 2014). Also, the different life cycles of institutional fields will compel entrepreneurs to highlight, for example, distinctiveness and conformity in different ways (Fisher et al., 2016). In mature fields, the claims of distinctiveness will certainly be more predominant than in fields that are emerging and where some explicit degree of conformity is needed in order to convince audiences of the plausibility of the new venture (Navis and Glynn, 2011).

Although we have an understanding of what legitimation discourses should entail, we do not know much about how these discourses can be constructed. Nonetheless, a few studies have started to address this limitation. Cornelissen and colleagues (Cornelissen et al., 2010; Cornelissen and Clarke, 2010) have suggested that analogies and metaphors are important elements in the reasoning employed to facilitate the comprehension and justification of a new venture. Furthermore, and building on argumentation theory, van Werven et al. (2016) have conceptualized six kinds of argument that are available to be used by entrepreneurs in their discursive legitimation efforts: arguments by generalization, analogy, classification, cause, sign and authority. Anyway, more works are naturally needed to unearth how legitimation discourses can be crafted. In particular, empirical studies are in short supply and the wealth of knowledge these can offer is fundamental to advancing our current understandings on legitimation (Suchman, 2005; Überbacher, 2014; Fisher et al., 2017).

#### 3.3 Discursive strategies of legitimation

Discourses are collections of texts that bring ideas into being and that include, for example, written documents, speeches, interviews, images and symbols

(Phillips and Hardy, 2002; Phillips and Oswick, 2012). Particular discursive constructions, or strategies, have been found to be especially engaging or appealing to influence legitimacy assessments (Vaara and Monin, 2010). These discourses provide justifications aimed at legitimizing particular ideas. The linguist van Leeuwen and colleagues (Van Leeuwen and Wodak, 1999; Van Leeuwen, 2007) have originally identified and elaborated on four general discursive categories of legitimation: (1) authorization; (2) moralization; (3) rationalization; (4) narrativization. These discursive strategies are often intertwined and overlapping (Vaara et al., 2006).

'Authorization' refers to legitimation by the authority of tradition, custom, law or even a person who is vested by some kind of institutional authority, such as an expert. 'Moral evaluation' (or moralization) relates to value systems. Adjectives like 'good' and 'healthy' are frequently used to hint at moral values. 'Rationalization' frequently goes hand-in-hand with moralization but assumes a specific role based on the ideas of utility and logical argument. Finally, 'mythopoesis' (or narrativization) is legitimation conveyed by stories (Van Leeuwen, 2007). Vaara and Monin (2010) have transposed and expanded this framework into organizational studies, and identified 'exemplification' (using specific examples to establish legitimation) and 'naturalization' (rendering something as natural by specific discursive means) as new discursive strategies (Vaara and Monin, 2010).

# 4. Philosophical and methodological foundations

#### 4.1 Ontological and epistemological perspectives

My research is necessarily bounded by my worldview and philosophical perspectives, and so it is important to be clear about these. In terms of ontology, i.e. in terms of my assumptions about the existence and definitions of reality (Guba and Lincoln, 1994), I follow a critical realist line of thought. Critical realists recognize that the world exists beyond our conscious knowledge or theories about it (Bhaskar, 1997; 1998). In particular, critical realists assume that there is a physical reality that is independent of our social constructions. This does not imply that social constructions are not fundamental to the understanding of the social world, but rather that there is a material reality that should not be disregarded.

With a critical realist perspective, organizations are seen as social, discursive and also as material accomplishments (Carlile et al., 2013; Jones and Massa, 2013). Furthermore, material artifacts do possess qualities and affordances that cannot be simply reduced to the social realm (Leonardi and Barley, 2010; Hodder, 2012; Leonardi, 2012). The material characteristics of media, for example, impact how texts are expressed, circulate and hold potential to be interpreted (Czarniawska and Joerges, 1996; Leonardi, 2012; Phillips et al., 2004). Digital media, in particular, possess material qualities that are different from paper-based media and this naturally impacts discourses' production and consumption (Leonardi, 2012; Hodder, 2012).

Critical realists' ontology is usually regarded within a particular epistemological view with which I'm also empathetic: our comprehension of reality is theory-laden and subjective (Bhaskar, 1997; 1998). As researchers, we have preunderstandings and theoretical sensitivity, and are thus not neutral observers of the empirical world (Hatch, 2012). Furthermore, social phenomena are intrinsi-

cally meaningful, and so there is always an interpretative layer in social science research (Sayer, 2000). Thus there can be different valid perspectives on the phenomenon of study (Bhaskar, 1998; Maxwell, 2012). Embracing these conditions and being self-reflexive and critical is thus fundamental in a process of research (Alvesson and Sköldberg, 2009; Alvesson and Kärreman, 2007; 2011).

### 4.2 Methodology

### Opting for a qualitative approach

My case studies of three Finnish entrepreneurial ventures offered me the opportunity to understand legitimation in its context comprehensively, and this was the main reason for the choice of this methodological approach (Denzin and Lincoln, 1994). Also, I always valued the open-ended nature in which one can regard qualitative case studies' development. There is flexibility to be surprised by the empirical material in ways that could hardly be anticipated before engaging in the fieldwork, and then follow the emergent and most promising paths of inquiry without downplaying previous knowledge, creativity and intuition (Alvesson and Sköldberg, 2010; Alvesson and Kärreman, 2007, 2011). In particular, single case studies are especially well suited to pursuing in-depth insights on a phenomenon (Welch and Piekkari, 2017).

As described in the first section of this dissertation's introduction, this flexibility to pursue what emerged as more intriguing and relevant was a key aspect of my research process (van Maanen et al., 2007; Dubois and Gadde, 2014). Additionally, I feel comfortable with qualitative methods of collection and an interpretative analysis of empirical material, something that fits well into a tradition of case study research in the management field (Piekkari and Welch, 2011).

Until now, many of the studies on entrepreneurial legitimation and, more broadly on legitimation in the management domain, continue to be conceptual and although this kind of study has laid important foundations for this path of inquiry, more empirical works are needed (Deephouse and Suchman, 2008; Überbacher, 2014). In particular, case studies enable a qualitative and longitudinal approach, as well as the use of interpretative methods, which are especially suited to research on legitimacy (Zimmerman and Zeitz, 2002).

### Process of research and empirical cases

The selection of the firms addressed in my research followed a logic of purposeful sampling (Patton, 2015). Through my personal networks but also by analysing news in the media and doing searches online, I came across different cases that I considered as potentially interesting as empirical sites. Serendipity was part of the process and I considered different cases as time passed as potentially suited to developing the case studies I aimed to do. By further analyzing information about the ventures and later establishing the first contacts in order to ascertain the possibility of access to the firms, I progressively narrowed down the list of potential cases. Along the way, the selection evolved based on my considerations about appropriateness, theoretical relevance according to the goals of the research and access to good information (Patton, 2015; Stake, 2005; Simons, 2009).

The revelatory potential of the cases was also a key aspect of their selection: the cases were expected to offer valuable empirical opportunities to delve into new insights on entrepreneurial legitimation. This assessment was not easy to make at the outset of my research project and while my knowledge about the cases was still being developed, and so intuition on the potential of the cases was also an aspect that was part of the process. Naturally, over time and as my engagement with a first set of cases evolved, I gained the ability to judge the potential relevance of the cases to a study on legitimation in a more informed way. Importantly, and as described at the beginning of this dissertation, legitimation was not my initial research interest. Hence the case selection process was quite intertwined with my engagement with the first empirical cases. In an initial stage, that may be called exploratory, I was still asserting the criteria for case selection and so this process of selection evolved, as the research purposes and questions became gradually more refined over time. Also, this implied leaving behind some of the cases that I initially considered for selection. Ultimately, three cases were chosen and each essay is based on one of them. The cases were considered as especially well suited to delving into particular issues within my broader interest in legitimation.

Essay 1 builds on the study of Playraven, a new venture in the game industry. I became engaged with the case soon after the foundation of the firm and I was thus able to follow closely how the pursuit of legitimacy started being developed in discursive terms. In particular, the case offered a relevant setting to

address the tension between conformity and distinctiveness in legitimation. Along with this research focus, I also became interested in how the legitimation communication of the venture became widespread.

Essay 2 is based on the case of Veen, a firm that was founded in 2006 with the purpose of commercializing water from Lapland. The case offered a rich setting to study how stories are employed in legitimation. In particular, the setting was especially interesting to research on how legitimation stories can be revised over time, as circumstances change. Veen was the first case I become engaged with and as I describe in the beginning of this dissertation, it was a setting that motivated me to focus on the study of legitimation at a time when this focus was not yet defined.

Finally, the case of Lovia (in essay 3) was the last one to be selected and offered the opportunity to study how legitimation is expressed with different communication modes. In particular I decided to focus my study on how the visual mode is employed in legitimation. I was able to engage in the fieldwork while the firm was in a formative phase and so I followed their communication from the start of their activities.

In overall terms, as the collection of empirical material evolved, analysis and interpretation of this material were undertaken at different points in time (Alvesson and Sköldberg, 2009). These moments of data analysis enabled me to engage with the empirical material collected by that date, and also helped to make decisions on how to proceed with subsequent fieldwork. Thus my research evolved over time based upon an iterative logic: there was a continuous and recursive movement between the empirical world of the cases and theoretical perspectives (Dubois and Gadde, 2014; van Maanen et al., 2007; Alvesson and Sköldberg, 2009).

### Sources of empirical material

A variety of qualitative sources of empirical material were used in the three case studies I developed (Piekkari et al., 2009). In this section I briefly characterize the main sources of material that are common across the cases. After this, I detail the empirical material collected in each of the case studies.

#### Interviews

Throughout the fieldwork I developed repeated interviews with the entrepreneurs. Having the opportunity to meet them at different times allowed me to explore some themes in depth and also to delve further into issues that emerged as relevant throughout the time of my research. During these repeated interactions, trust was built and I managed progressively to get access to other sources of data, such as different internal documents. I was also able to get to know about future activities that I then followed, such as public presentations of the entrepreneurs and events organized by the ventures. In addition to the entrepreneurs, I interviewed other persons working on the ventures. The interviews were semi-structured, with questions defined beforehand in an interview guide. However, there was always openness to explore issues further that were not included in the initial questions formulated. Most interviews took place at the ventures' facilities and all of them were recorded and later transcribed verbatim.

Informal talks were also developed throughout the fieldwork. These were unstructured and resulted mostly from opportunities to talk with the entrepreneurs and employees of the firms when visiting the organizational facilities or when attending public and private events related to the ventures. Hand-written notes were made after these informal interactions. The main purpose of the interviews and informal talks was to gain a deep understanding of the ventures and their activities, as well as their evolution through time. Naturally, legitimation activities and how the interviewees regarded these were an ever-present aim in all my interactions. Finally, grasping the personal motivations and ideas of the informants was also important.

### External communication

The ventures' communication directed at general external audiences was the other key source of empirical material. This communication was articulated in media such as the ventures' websites and social media, but also, for example, in press releases and newsletters. Throughout the fieldwork I had the opportunity to attend the entrepreneurs' public presentations, and I was also able to access video-recorded presentations. The analysis of communication in these different media was generally made to understand how the legitimacy of the ventures was discursively pursued. Key aspects of this data included the projected identities of the ventures. Naturally, the analysis of this general communication

contributed to developing an in-depth and holistic understanding of the cases. Websites, in particular, and as argued in each one of the essays ended up assuming a central role as the empirical material upon which I focused my analysis.

#### Internal documents

Another important source of data were organizational internal documents. These included documents in use, such as guidelines to employees and business plans, as well as archived materials. These latter encompassed a range of diverse documents such as older external public communication, promotional material, different documents on strategy and business plans. Importantly, it was possible to gather presentations tailored to specific audiences, such as investors. Some of the presentations were being used when my fieldwork was ongoing and others were no longer in use and were archived. Again, the main purpose related with the collection of this empirical material pertained to understanding legitimation activities.

### Media content

The content of news media about the ventures studied was yet another relevant source of data. I was able to gather interviews given by the entrepreneurs to the media and also different journalistic works on the ventures' activities. This data was pertinent to consolidate my understanding of the cases and also, very importantly, to gain a view of the ventures' institutional environments.

As explained, the above-mentioned sources of data were the main ones in my doctoral research. However, other sources of data, such as notes from observations in the ventures' facilities were also employed. All empirical material was compiled and organized electronically in an archival case record set for each of the articles (Patton, 2015). The interviews and public presentations were transcribed and all handwritten notes were transposed to an electronic format. The copies or prints of internal documents were scanned and media content was also organized in an electronic format.

In the table below (table 3) I detail the empirical material that was collected in each one of the case studies.

| Data       | Essay 1             | Essay 2             | Essay 3            |
|------------|---------------------|---------------------|--------------------|
| sources    | Playraven           | Veen                | Lovia              |
| Interviews | - 24 interviews     | - 15 interviews     | - 22 interviews    |
|            | with 18 informants  | with 8 informants   | with 9 inform-     |
|            | (318 pages of tran- | (212 pages of tran- | ants (336 pages    |
|            | scripts).           | scriptions).        | of transcrip-      |
|            | •                   | •                   | tions).            |
| Internal   | - Internal docu-    | - Presentation to   | - Business plan    |
| documents  | ments such as       | investors (2007,    | (2015, 8 pages).   |
|            | memos, guides       | 27 pages).          | - Presentation to  |
|            | and procedures      | - Presentation to   | investors (2016,   |
|            | (from 2014 to       | different audi-     | 11 pages).         |
|            | 2016, over 40       | ences (2008, 15     |                    |
|            | pages).             | pages).             |                    |
|            |                     | - Presentation      |                    |
|            |                     | brochure to differ- |                    |
|            |                     | ent audiences       |                    |
|            |                     | (2012, 23 pages).   |                    |
|            |                     | - Presentation to   |                    |
|            |                     | investors and       |                    |
|            |                     | partners (2014, 2   |                    |
|            |                     | pages).             |                    |
|            |                     | - Business plan     |                    |
|            |                     | used in presenta-   |                    |
|            |                     | tions to investors  |                    |
|            |                     | (2015 and 2016,     |                    |
|            |                     | 26 pages).          |                    |
| Other ar-  | - 5 press releases  | - 6 corporate       | - 9 newsletters    |
| chival ma- | (from 2014 to       | newsletters (from   | (33 pages).        |
| terials    | 2016, 8 pages).     | 2013 to 2016, 16    | - 5 press releases |
|            | - 5 public presen-  | pages).             | (16 pages).        |
|            | tations of the CEO  | - 20 press releases | - 13 articles from |
|            | (from 2014 to       | (from 2009 to       | international      |
|            | 2016, 48 pages).    | 2016, 23 pages).    | press about        |
|            | - 49 articles from  | - 18 articles from  | Lovia (67 pages).  |
|            | international press | international press | - 3 promotional    |
|            | about Playraven     | (from 2007 to       | lookbooks (62      |
|            | (from 2013 to       | 2016, 55 pages).    | pages)             |
|            | 2016, 127 pages).   | - Ads promoting     | - 2 presentations  |
|            |                     | the venture's       | Nordic Awake       |
|            |                     | products (from      | project (2016, 7   |
|            |                     | 2009 to 2016, 22    | pages).            |
|            |                     | pages).             |                    |

Table 3. Overview of empirical material collected.

| Venture's   | - Website content  | - Five versions of | - Website con-     |
|-------------|--------------------|--------------------|--------------------|
|             |                    |                    |                    |
| website     | (114 pages).       | the website that   | tent (120 pages).  |
|             |                    | range from 2007    |                    |
|             |                    | to 2016.           |                    |
| Social me-  | - Facebook content | - Facebook and     | - Facebook and     |
| dia         | (from 2014 to      | Instagram con-     | Instagram con-     |
|             | 2016, over 120     | tent (from 2008    | tent (from 2015    |
|             | pages).            | to 2016, over 100  | to 2017, over 80   |
|             |                    | pages).            | pages).            |
| Observation | - Over 50 hours of |                    | - 10 visits to the |
| notes       | observation at the |                    | venture (24        |
|             | venture (51 pages  |                    | pages).            |
|             | of notes).         |                    | - Participation in |
|             | - Participation in |                    | events related to  |
|             | public presenta-   |                    | the venture (7     |
|             | tions of the CEO   |                    | pages).            |
|             | (13 pages of       |                    |                    |
|             | notes).            |                    |                    |
|             | - Participation in |                    |                    |
|             | events related to  |                    |                    |
|             | the venture (5     |                    |                    |
|             | pages).            |                    |                    |

Table 3. Overview of empirical material collected (continued).

The different sources of data resulted in a rich set of empirical material that was further strengthened by the longitudinal process of collection. This depth and breadth of the empirical set allowed the creation of a holistic and comprehensive view of the cases. Although, as mentioned, the interviews were important sources of data, naturally occurring texts, such as the ones collected from diverse communication materials became quite central in my research process. Texts from business plans and presentations to investors, for example, allowed the collection of data directly 'recovered' from the empirical grounds where it was created and used, which contributes to an added validity (Silverman, 1993). Also, with the aim of further strengthening the quality of my approach, I discussed my findings and interpretations with the entrepreneurs of the ventures in the last phase of the fieldwork.

It is important to acknowledge that collecting the texts circulating in the ventures was a challenging task. There is always the possibility of not being able to capture key texts and perspectives, since the empirical settings are complex and dynamic. Different discourses were circulating at the ventures and not all of

them became prominent in my study. I decided to focus my efforts on the discourses that were chiefly promoted and shared by the entrepreneurs, in their own accounts and in their ventures' communication. Hence, I was mainly interested in the pragmatics of the ventures' joint representation of legitimation discourses.

### Analysis of empirical material

In general terms, and in a first phase, I analyzed the empirical material in an open manner. The texts collected were repeatedly read with an interest in how legitimation was expressed. In order to assert this, I followed a definition of legitimation as articulating the venture as an appropriate / desirable firm in its field. Explanations about the venture's activities and texts that articulated conformity to or distinctiveness from the field were accordingly considered as potentially related to the articulation of legitimation and investigated in the analysis.

The analysis progressed as I searched for relationships between texts, and as I related the empirical material to existing studies and theoretical frameworks. Thus I gradually developed a theoretical sensitivity (Alvesson and Sköldberg, 2009) pertaining to legitimation, due to the engagement with the cases and with the literature. In this first phase of analysis I continuously took notes to highlight relevant aspects of the texts.

In a second phase I chose particular theoretical lenses to develop the analysis of the material of each case study. These choices were made according to the purpose and research questions of each one of the essays. In essay 1, I employed discourse analysis to analyze the empirical material (Phillips et al., 2004) and in essay 2 I used narrative analysis (Pentland, 1999; Bal, 2009; Czarniawska 1997; 1998; 2004). Finally, in essay 3 I employed interpretive content analysis to address verbal texts (Miles et al., 2014) and the theoretical framework on visual grammar to analyze images (Kress and Van Leeuwen, 1996).

In overall terms, my analysis was aimed at abstracting patterns from the empirical material (Cornelissen, 2017). I embraced the particularities and richness of the cases and from there I strove to develop analytical explanations through the identification of the patterns mentioned (Tsoukas, 2009). To this end my processes of analysis were based on creating codes to identify these

more abstract patterns. Over time, I refined these codes by developing new analyses. In a subsequent stage and working with tables, I organized the codes and their characterization, as well as excerpts of empirical material that supported these codes (Miles et al., 2014). I continued to iterate the tables further and to reflect on my analysis as the research evolved. In particular, I continued to take notes and challenge my own interpretations, searching for conceptual/theoretical coherence and checking for possible alternative explanations (Miles et al., 2014).

### 5. Discussion and conclusion

#### 5.1 Theoretical contributions

In this section, I present a general overview of how my dissertation adds to the current literature.

# Legitimation is constructed through verbal and visual discursive strategies

My research brings the literature on discursive strategies of legitimation (Van Leeuwen and Wodak, 1999; Van Leeuwen, 2007; Vaara and Monin, 2010) into the domain of entrepreneurial legitimation, by establishing a "synthesized coherence" (Locke and Golden-Biddle, 1997) between these two literatures. In essay 1, I identify the strategies of rationalization, moralization, exemplification, naturalization and narrativization as being employed in the communication of the venture. This typology advances the understanding of the discursive activities of entrepreneurs by providing a detailed view of the types of strategies used to influence audiences. Moreover, this view contributes to expanding recent studies that have pointed out metaphors (Cornelissen et al., 2010; Cornelissen and Clarke, 2010) and arguments (van Werven et al., 2016) as key aspects of discursive legitimation.

Furthermore, in essay 3, I contribute to expanding the literature on discursive legitimation. This literature has essentially been focused on verbal texts (Meyer et al., 2013) and my essay brings the visual into this domain. In particular, the essay identifies discursive strategies grounded in the visual mode of communication that complement the verbal ones already identified in the literature (Vaara and Monin, 2010). I argue that the visual mode is especially suited to sustaining specific strategies. I identify and characterize one strategy for generating visually conformity, and a second one for demonstrating distinctiveness.

### Conformity and distinctiveness are entangled in the discursive pursuit of legitimacy

I extend prior research on legitimate distinctiveness (Navis and Glynn, 2011; Voronov et al., 2013) by showing how both conformity and distinctiveness are entangled in discursive terms. Essay 1 provides a view of the micro-discursive aspects of legitimation, by detailing how legitimate distinctiveness is discursively constructed.

Essay 1 shows that by using the same discursive strategies to support opposing claims of conformity and distinctiveness, entrepreneurs are able to tone down the tension between these. On the other hand, a narrative discursive strategy, in particular, was found to be especially relevant to bring together claims that articulate conformity to the organizational field and distinctiveness from it, by providing an explanation about how the different ideas presented are related. In a narrative discursive format, opposing claims of conformity and distinctiveness are rendered as logical elements that function together.

Additionally, essay 1 builds on the concept of the field frame, which contributes to problematizing the homogeneity of audiences and suggests particular attention be paid to how different knowledge within similar types of audiences may be taken into account by the entrepreneurs in their legitimation efforts.

## As time unfolds it becomes necessary to renew legitimation discourses

Some scholars essentially regard legitimacy as a dichotomy: organizations are judged as either legitimate or not (Suchman, 1995; Zimmerman and Zeitz, 2002; Deephouse and Suchman, 2008). Furthermore, there is the assumption that achieving legitimacy implies reaching a threshold: once it is reached organizations will be considered as legitimate. This view of legitimacy as dichotomous has been challenged by recent studies that suggest regarding legitimacy as an ongoing achievement (Garud, Schildt and Lant, 2014, Fisher et al., 2016; Überbacher et al., 2015). My research contributes to this latter perspective. Essay 2 offers a view of the potential drawbacks ventures face as time unfolds: given changed circumstances, the entrepreneurs in the case studied decided to revise their venture's legitimation story in order to keep it aligned with the new circumstances. After establishing an initial set of legitimacy claims, it is thus es-

sential that entrepreneurs act proactively, over time, in order to anticipate and mitigate eventual legitimacy gaps (Garud, Schildt and Lant, 2014). By renewing their discourses to face changed circumstances entrepreneurs can maintain the plausibility and relevance of their claims as time passes.

### Revising legitimation stories implies continuity and change

My research extends previous studies on legitimation stories (e.g. Garud, Schildt and Lant, 2014) by offering a view of the discursive challenges entailed in revising a story. A tension between the continuity of early versions of the story and the changes implied in a revision underlies these challenges. My study shows that to assure continuity, stories' revision should be sustained by the following actions: (1) introducing new discursive elements while proposing modified meanings to previous ones; (2) introducing relational links to renew the plot and to provide plausibility to the changes in the story; (3) reformulating the tension between past, present and future. Finally, the essay expands the existing literature by proposing that in a story revision it is essential to introduce novelty within the logic of the foundational narrative that has underlain the legitimation story over time.

### Legitimation is a material practice

Finally, my research also contributes to emphasizing the material dimension of legitimation. I argue that materiality is essential to legitimation since to become influential ideas must be materialized, gaining the capacity to transcend places and endure in time. In essay 1, I suggest that the materiality of websites is important for the legitimation of new ventures. This view corroborates the emphasis of recent studies on the increasing relevance of digital media to legitimation (Sillince and Brown, 2009; Barros, 2014; Fischer and Reuber, 2014). Furthermore, in essay 2, I delve into how legitimation stories are brought into being through the materiality of texts. In particular I explore how revising a story is a practice that is both ideational and material. The process of revising a story is constrained by the materiality of texts previously released and implies the material production of new texts. These perspectives contribute to embracing the materiality of media in the discursive activities of legitimation.

### 5.2 Managerial implications

In this section I will present managerial implications that derive from the insights of my empirical studies. The purpose is to present a synthesis of the key research findings that have implications for managerial practice. A more thorough discussion on the ideas presented is developed in the essays, and so I indicate below which essays delve specifically into each one of the implications mentioned.

# Stories are especially adequate to explain the legitimacy of an organization

Stories are especially well suited to bringing together different ideas and arguments and to giving these meaning. Moreover, a story format commonly facilitates the engagement of audiences and helps to make memorable the ideas presented. Thus a story format is particularly adequate to explain a firm's activities and to convince others of its legitimacy. Stories usually have main actors – the entrepreneurs or the organization itself – and events experienced or caused by these actors. Events can be, for example, the development of an innovation or a happening that is significant for the organization's evolution. A story's plot explains how the events are connected and meaningful, thus providing entrepreneurs with an adequate communicative structure to give a sense of their organization to others.

See more in essays 1 and 2.

### Particular communication strategies can help claims of legitimacy

A story format, as just mentioned, is a powerful communication strategy to explain the activities of a company and present these as legitimate. Other strategies also seem to provide an especially adequate format for claiming legitimacy. In addition to stories, entrepreneurs thus have other strategies available. Firstly, ideas can be presented as rational, emphasizing logical arguments. Secondly, entrepreneurs can relate their arguments to values, highlighting the moral dimension of their endeavours. Thirdly, the activities of a firm can also be presented as natural. Finally, examples can be invoked to sustain the legitimacy claims. Together, these communication strategies offer different ways for entre-

preneurs to organize and communicate their ideas in order to convince others of their organizations' legitimacy.

See more in essay 1.

### Visual communication is relevant in legitimation

Visuals such as images, drawings and graphics have the potential to sustain specific communication strategies, due to the particular characteristics of visuals. Compared to language-based texts, visuals offer the opportunity to communicate in more implicit ways, and to influence by suggestion, for example. Also, visuals are particularly relevant to express messages that are not intended to be verbalized and to demonstrate facts in a more immediate way that in verbal language. In particular, entrepreneurs can use visuals specifically to suggest the credibility of their ventures, and to show that there is compliance with field expectations. In this strategy, the key aspect is implicitness that suits a communication strategy to expressing messages not intended to be verbalized. On the other hand, visual communication can be specifically employed to demonstrate claims of distinctiveness by literally showing the uniqueness claimed by entrepreneurs in the activities of their organizations.

See more in essau 3.

### Pursuing legitimacy implies both conformity and distinctiveness

To be judged as plausible, organizations must follow the norms of their industry. In particular, firms should be aligned with what is considered as appropriate or credible. At the same time, and importantly, organizations must show that they are distinctive from others in the industry. This distinctiveness is essential to convince others of the value of the organization and its activities. Furthermore, this tension between conformity and distinctiveness relates to the organizations' particular industry. In mature industries, expressing distinctiveness will tend to be more relevant than conformity, and in emerging ones, the opposite will hold true. In any case, organizations should be presented both as conforming to their industry but also as different from others in the industry in order to be regarded as legitimate. Stories are especially well suited to present-

ing these claims as logical elements that function together, toning down the opposing tension between them.

See more in essays 1 and 3.

# Legitimacy is judged according to audiences' knowledge and expectations

Different groups such as investors and consumers tend to have their own evaluative lenses when judging the legitimacy of an organization. And these judgments are made according to their knowledge about the industry and their expectations toward the organizations. Thus when striving for legitimacy, entrepreneurs should seek understanding of their target audiences' expectations and knowledge. Importantly, this further implies that entrepreneurs may strategically invoke particular legitimacy claims according to their understanding of specific audiences, in order to promote conformity and/or distinctiveness before those audiences.

See more in essay 1.

### Legitimacy should be pursued over time

It is not only important for an organization to become regarded as legitimate by key audiences, it is also critical that organizations continue to be evaluated as such over time. Industries' settings will change as time passes, and so the criteria to access legitimacy will also change. Furthermore, organizations often modify their strategies and their activities over time and so earlier claims can become misaligned with new and changed circumstances. Hence, the communication through which entrepreneurs pursue legitimacy has to be revised and adjusted over time in order to continue to be relevant and to ensure that legitimacy is maintained.

See more in essay 2.

### 5.3 Limitations of the study

There are always trade-offs involved in the decisions pertaining to a research project and my doctoral dissertation is no exception. By choosing to delve into the discursive aspects of legitimation my study became necessarily focused on a particular facet of legitimation. Although my approach was devised to allow exploring this facet in depth, it is important to acknowledge that legitimation is a complex phenomenon and that my studies address only a part of this phenomenon.

Moreover, the options regarding the theoretical frameworks employed in my research implied disregarding other alternatives, and so there are always decisions that result from my own personal beliefs and interpretations and that naturally impacted how the research was conducted. My decisions as researcher are value-laden (Alvesson and Sköldberg, 2009) and I acknowledge that the research is bounded by my own personal idiosyncrasies, as well as more broadly by those that pertain to my research environment. Knowledge is not discovered, it is constructed (Alvesson and Sköldberg, 2009). And the social conditions of this construction influence how knowledge comes to be (Sayer, 2000; Easton, 2010).

One relevant limitation of my research is the absence of analysis of the outcomes of the legitimation activities. Legitimacy is a judgment ultimately created by audiences and I have not addressed how the entrepreneurs' legitimation efforts impacted their audiences. Although my focus on how entrepreneurs strive to influence legitimacy assessments was purposive and based on the goal of properly delving into their discursive activities I recognize that not having had the opportunity of studying legitimacy judgments and the dynamics that ensue from legitimation, limits the comprehensiveness of my contribution.

In terms of methods, I acknowledge that by developing a few in-depth case studies, my findings necessarily pertain to the empirical settings addressed and should be regarded within these. My option was to focus on unaddressed research questions and I purposively embraced this trade-off, prioritizing explanation over generalization. In a related vein, my qualitative approach, based on a multitude of data from different sources, in which the context and the temporal evolution of the cases were embraced, was developed within a logic that privileged new insights and in-depth perspectives about legitimation. Hence,

the explanatory nature (Welch et al., 2011) of the case studies addressed implied a limited potential to generalize my research findings. Nonetheless, the results of my work are theoretically relevant by revealing new perspectives on legitimation. This pushes current understandings forward and stakes out the path for future studies that can expand or challenge the perspectives unveiled in my essays.

In terms of data, discourse analysts frequently recognize that social reality has multiple meanings and thus it is necessary to embrace the possibility of different interpretations (Phillips and Hardy, 2002; Golant and Sillince, 2007). This is the case with the kind of the analysis of empirical material that I have performed and so there is always a degree of subjectivity that is important to acknowledge (Alvesson and Sköldberg, 2009). Besides this, in a research project centred on discourses it is also relevant to recognize the situated represent-ativeness of the texts collected. An empirical case is complex, involves different organizational actors and there are certainly more texts circulating than the ones collected by a researcher. Thus the empirical data set is a sample and there is always the possibility that other texts could be circulating and were not captured in my research project.

The logic underlying the kind of interpretative case studies I have developed can be seen, as Brown (2000, p. 55) puts it, as not "a quest for ultimate truth but for a plausible, authoritative, verisimilitudinous, and interesting analysis that enriches our understanding of social phenomena". Thus although recognizing the limitations mentioned, I believe my research brought into being new perspectives on legitimation that enrich our understanding of this phenomenon. There is certainly much more to be done in future studies and in the next section I will address the paths of inquiry that I deem as more relevant to further explore.

### 5.4 Suggestions for future research

In this section I point out a number of avenues for future research. First, future studies might address the dynamics between the discursive efforts of entrepreneurs and the legitimacy assessments of audiences. While my research has contributed to understanding how entrepreneurs craft their legitimation dis-

courses, there is a need to uncover how these discursive activities influence audiences and legitimacy judgements. In particular, a holistic view that embraces legitimation efforts and legitimacy assessments holds potential to shed light on how the discursive activities of entrepreneurs impact their organizations' survival and growth.

Second, in my research I have explored how entrepreneurs use particular discursive strategies in order to pursue the legitimacy of their organizations. Future studies might delve into the strategies I have identified and, importantly, strive to reveal new ones. There is a particularly relevant potential in investigating how legitimation strategies are constructed using different communication modes (Meyer et al., 2013). I have analyzed the visual mode, but it is critical to understand how other communication modes are employed by entrepreneurs and, in particular, how strategies are built upon different modes together. Communication in contemporary markets is increasingly multimodal (Kress, 2010) but there continues to be limited understanding how this impacts legitimation activities.

Third, it is fruitful to challenge some of the analytical distinctions that have been prevalent in studies about legitimation. As discussed in the overview of the literature, different paths of research on entrepreneurial legitimation have been built on different traditions. A 'cultural agency' perspective has been essentially focused on the articulation of a venture's comprehensibility and thus on the pursuit of cognitive legitimacy (Aldrich and Fiol, 1994; Zimmerman and Zeitz, 2002; Golant and Sillince, 2007; Überbacher, 2014). On the other hand, research on symbolic actions and other forms of impression management has generically been associated with creating attractiveness and desirability, i.e. on the pursuit of evaluative legitimacy (Aldrich and Fiol, 1994; Zimmerman and Zeitz, 2002; Golant and Sillince, 2007; Überbacher, 2014). My case studies suggest that these two kinds of legitimation purposes essentially overlap in practice. While the articulation of the ventures' comprehensibility was a fundamental aspect of the cases' communication, sense-giving and symbolism also underlay the texts I have collected. Hence, the analytical distinction between these two types of legitimacy, in terms of discursive legitimation, might be challenged in future research.

Fourth, more research is needed in terms of the dynamics of stories in legitimation. In my empirical settings, the entrepreneurs created an overall dominant narrative, chiefly promoted as the official narrative of the organizations. These dominant narratives are not isolated from other stories circulating in and around new ventures. Actually, many of these stories had some connection with the main narrative actively promoted by the entrepreneurs. On the one hand, particular stories fed this main narrative as it evolved through time and were thus essential to sustaining this main narrative. On the other hand, and importantly, from this dominant narrative stories were then crafted, with a distinctive emphasis, to serve particular purposes and to be articulated in different media. These insights stand in contrast to O'Connor's study (2002), for example, that identified different narratives in the legitimation of a start-up in Silicon Valley. In my empirical settings, there were not exactly different narratives, but rather the same generic narrative that was then adapted to different logics so as to serve separate purposes, namely related to articulating identity, legitimacy, strategy and marketing claims. This pliability of dominant narratives and their potential to offer grounds from where different stories can be crafted is another perspective I deem as relevant to explore in future studies.

Fifth, legitimation communication was found to be especially relevant inside the organizations and future research should further explore this perspective. The focus of my study essentially led me to address the external dimension of the ventures' communication efforts. Nonetheless, in the fieldwork it became noticeable how legitimation was also very relevant to the employees of the ventures and to the entrepreneurs. In particular, and although this perspective was not developed in my essays, sense-making seemed to be closely intertwined with sense-giving. By giving a sense of the ventures' activities to others, entrepreneurs seemed to be making sense to themselves, which in turn influenced subsequent processes of sense-giving. Thus the discursive construction of legitimation seemed to be a process of self-discovery too. Cornelissen et al. (2010) have also recently identified these dynamics, which pave the way for new paths of inquiry.

Sixth, another aspect that is relevant to address in future studies is how legitimacy is pursued in international terms. In my study, I ended up not addressing this angle, but the organizations I studied share an international orientation and this is, in fact, an essential aspect of their businesses. The ventures

sell to international markets and seek resources also in international settings. This cross-boundary positioning offers opportunities and also challenges. However, in terms of legitimation, we know very little about these challenges. Thus more research is needed on the international dimension of legitimation. Seventh, and in a related vein, another avenue for research is addressing the increasing relevance of digital media to the legitimation of entrepreneurial ventures (Sillince and Brown, 2009; Barros, 2014; Fischer and Reuber, 2014). In recent years, digitalization has been changing the nature of competition, consumption and how markets operate. Moreover, increasing connectivity and the availability of online information are impacting the relations between ventures and employees, investors, competitors, consumers and governments. Importantly, in our contemporary global markets, entrepreneurial ventures' audiences often come in contact with these organizations through online media (Coupland and Brown, 2004). However, there is limited understanding of how the increasing relevance of digital media influences entrepreneurial legitimation efforts.

Eighth and finally, the material dimension of discursive legitimation is yet another aspect that I deem as relevant to explore in future studies. In essay 1 I suggested that texts' materiality is essential for legitimation processes: to become influential, ideas must be materialized, gaining an ability to transcend places and endure in time. In essay 2 I argued that story revisions unfold upon materially dispersed texts that both facilitate and constrain change. These insights offer more perspectives for further studies on legitimation.

### 5.5 Concluding thoughts

To convince others of the legitimacy of their ventures, entrepreneurs face challenges underlied by a tension between difference and similarity. This tension has particular dimensions and in my research, I have shed light on three of them. My first essay details how ventures are discursively presented as being distinctive, and thus needed and valuable in their fields, but also as conforming to these fields. The second essay offers a view of how stories that are crafted to convince others of the organizations' legitimacy evolve, influenced by continuity and change. As time passes and circumstances change, stories must be revised

but also somehow remain similar to previous versions. Hence, there must be a confluence of difference and similarity in the revision of legitimation stories.

Finally, the third essay reveals how the visual mode of communication is employed by entrepreneurs to construct particular strategies of communication. One of the strategies identified relies on employing images to demonstrate claims of uniqueness. And the other strategy identified builds on using images to suggest the organization's belonging to its field, and thus induce credibility. Once again, we have here the tension between difference and similarity, which the visual mode of communication seems to be especially suited to sustain.

In overall terms, my research suggests that the general tension between difference and similarity lies at the core of the pursuit of legitimacy. Embracing this tension, and the multiple challenges that arise from it, is thus essential for entrepreneurs' ability to convince others of their ventures' desirability and appropriateness. My research has delved into these challenges and advanced our understanding of how entrepreneurs can meet them while pursuing the legitimacy of their ventures.

## 6. Summaries of the essays

In this section, I synthesize the main elements of each of the essays: theoretical gaps and research questions, motivation, theoretical approaches employed, methods, empirical settings and key findings.

### 6.1 Summary of Essay 1

Essay 1 generally sets the scene for the research phenomenon being addressed in this dissertation. How do entrepreneurs construct their legitimation discourses within their organizational fields? What are the micro-discursive mechanisms employed to influence resource provider audiences? The venture studied in this essay was a new player in the mobile game industry, struggling to attract mainly financial and human resources. In the entrepreneurs' interaction with media, in their public presentations and also generally in the venture's communication, it became noticeable how claims that emphasized conformity to the field were closely intertwined with claims that highlighted the venture's distinctiveness in this field. Thus I decided to explore how this tension was handled in discursive terms. The table below (table 4) synthesizes the key elements of this essay.

| Title           | Legitimation of new ventures: embracing conformity   |
|-----------------|--|
|                 | and distinctiveness.                                 |
| What is known / | We know that new ventures must conform to their      |
| not known       | environment in order to comply with institutional    |
|                 | expectations. At the same time, ventures must also   |
|                 | be distinctive from this environment to be regarded  |
|                 | as plausible. However, little is known about how en- |
|                 | trepreneurs can discursively address this tension in |
|                 | their legitimation efforts.                          |

Table 4. Essay 1 - summary.

| D 1                      | TT 1 1 11 11 1 1 1 1 1                                  |
|--------------------------|---|
| Research ques-           | How do new ventures handle the tension between          |
| tion                     | conformity to, and distinctiveness from, their insti-   |
|                          | tutional contexts, when discursively striving for le-   |
|                          | gitimacy?   |
| Motivation               | An understanding of the discursive means employed       |
|                          | by entrepreneurs is particularly relevant to advance    |
|                          | current knowledge on legitimation processes and to      |
|                          | enable a nuanced view of how discourses sustain the     |
|                          | pursuit of new ventures' legitimation.                  |
| <b>Key theoretical</b>   | The main theoretical approaches employed in this        |
| approaches               | essay are institutional theory and discursive strate-   |
|                          | gies of legitimation.                                   |
| Methods                  | To address the research question, I investigated a      |
|                          | case company in the mobile game industry. Data          |
|                          | collection started in March 2014 and ended in Sep-      |
|                          | tember 2016. I developed interviews with the CEO        |
|                          | and most of the venture's employees, as well as col-    |
|                          | lected internal documents and developed observa-        |
|                          | tions in regular visits to the firm. However, the anal- |
|                          | ysis relied mostly on empirical material from           |
|                          | publicly available sources, such as websites, industry  |
|                          | publications and media archives. Discourse analysis     |
|                          | was employed to address the empirical material.         |
| <b>Empirical setting</b> | Playraven develops games for touchscreen devices        |
| g                        | like smartphones and tablets. The venture was           |
|                          | founded in the summer of 2013, in Helsinki, by five     |
|                          | industry veterans. The games developed by Play-         |
|                          | raven are distributed in online stores, like those of   |
|                          | Apple and Google. In the spring of 2016 there were      |
|                          | 24 people working at the venture, with 3 teams de-      |
|                          | veloping games simultaneously.                          |
| Findings                 | - Legitimation is sustained by particular discursive    |
| <b>O</b> *               | strategies;   |
|                          | - The same discursive strategies are employed to        |
|                          | present both conformity and distinctiveness;            |
|                          | - Entrepreneurs present their ventures according to     |
|                          | their understanding of particular audiences. This       |
|                          | way, entrepreneurs strive for conformity or distinc-    |
|                          | 1 -   |
|                          | tiveness in an implicit way.                            |

Table 4. Essay 1 – summary (continued).

### 6.2 Summary of Essay 2

Essay 2 delves specifically into one of the legitimation strategies identified in the first essay: stories. In previous literature, a story format seems particularly appropriate to articulate legitimacy claims and my first essay corroborated this view. In essay 2, I push forward a deeper understanding of what is discursively entailed in legitimation stories, and how these can be revised through time. The case study of this essay is an entrepreneurial venture with around eight years of operation that faced the need to revise its story while my fieldwork was ongoing. I was thus in a privileged position to explore how the entrepreneurs handled the tension of revising the legitimation story to keep it relevant in the face of changed circumstances, while also trying to assure the continuity of the original story that led to the support of key resource providers. This essay thus establishes an explicit view of what the discursive elements of a legitimation story are, and from there investigates the tension between change and continuity.

| m*-1            | a1 · · · · · · · · · · · · · · · · ·                    |
|-----------------|---|
| Title           | Changing without changing: the revision of              |
|                 | entrepreneurial legitimation stories.                   |
| What is known / | Stories are discursive means commonly employed to       |
| not known       | explain ventures' distinct characteristics and legiti-  |
|                 | macy claims. However, and through time, stories can     |
|                 | become misaligned with changed circumstances            |
|                 | such as changes in the competitive landscapes.          |
|                 | While entrepreneurs may revise their ventures' sto-     |
|                 | ries to cope with these changes, preserving the con-    |
|                 | tinuity of the foundational story that ensured suc-     |
|                 | cess in the first place is essential, in order to avoid |
|                 | scepticism or distrust from audiences. Current          |
|                 | studies on entrepreneurial settings have essentially    |
|                 | addressed why stories have to be changed and have       |
|                 | suggested that re-plotting is the key mechanism for     |
|                 | these changes. However, we know little about what       |
|                 | is actually implied in modifying a story. In            |
|                 | particular, there is a lack of understanding of the     |
|                 | discursive aspects involved in a story revision that    |
|                 | encompasses continuity and change.                      |
| Research ques-  | How do entrepreneurs manage the tension of              |
| tion            | changing their ventures' stories to face changed cir-   |
|                 | cumstances, while also preserving continuity?           |

Table 5. Essay 2 - summary.

| Motivation      | An understanding of how entrepreneurs keep their         |
|-----------------|--|
|                 | stories relevant as ventures grow and expand is es-      |
|                 | sential to developing effective legitimation commu-      |
|                 | nication and obtaining continued access to key re-       |
|                 | sources.   |
| Key theoretical | Narrative theory is the main theoretical framework       |
| approaches      | employed.  |
| Methods         | I developed a case study of a venture and followed its   |
|                 | activities for over three years, being able to track the |
|                 | changes in the revision of its legitimation story. The   |
|                 | fieldwork started in September 2013 and ended in         |
|                 | December 2016. Main sources of data include inter-       |
|                 | views, internal documents and the venture's com-         |
|                 | munication. I employed narrative analysis to address     |
|                 | the empirical material.                                  |
| Empirical set-  | Veen is a venture that commercializes water sourced      |
| tings           | in Finnish Lapland. Veen's story had to be changed       |
|                 | to accommodate a new international strategy and a        |
|                 | renewed product portfolio that from 2015 onwards         |
|                 | started to include water sourced in Bhutan.              |
| Findings        | - Revising a legitimation narrative while pursuing       |
|                 | continuity involves introducing new narrative ele-       |
|                 | ments and proposing modified meanings to previous        |
|                 | ones; introducing new relational links that sustain a    |
|                 | change in plot and provide plausibility to this          |
|                 | change; reformulating the tension between past,          |
|                 | present and future in the new plot, and keeping          |
|                 | some narrative elements unchanged from previous          |
|                 | versions of the story;                                   |
|                 | - Revising a narrative is an ideational practice as      |
|                 | much as it is a material one.                            |

Table 5. Essay 2 - summary (continued).

### 6.3 Summary of Essay 3

Essay 3 also builds on essay 1, extending the findings on discursive strategies of legitimation to the visual mode of communication. The essay is based on the case study of a venture in the fashion industry that I followed from the beginning of its activities and over two years. Struggling mostly to gain the support of investors and business partners, the entrepreneurs employed the discursive strategies identified in essay 1 to strive for legitimacy. However, visual texts emerged in the data set as especially relevant in this pursuit and I decided to explore how the visual mode was employed in legitimation efforts.

| Title                    | The visual legitimation of new ventures.               |
|--------------------------|--|
| What is known /          | Research on entrepreneurial legitimation has been      |
| not known                | essentially focused on written and oral accounts.      |
| not known                | However, with the proliferation of new media and       |
|                          | Internet, legitimation has naturally expanded from     |
|                          | language-based accounts to other modes, like the       |
|                          | visual. Thus a narrow focus on verbal texts hinders    |
|                          | the development of the understanding of how            |
|                          | legitimacy is being pursued                            |
| Research ques-           | How do entrepreneurs use the visual mode of com-       |
| tion                     |  |
| Motivation               | munication to legitimize their new ventures?           |
| Mouvation                | Visual communication is pervasive in contemporary      |
|                          | markets and unveiling how this mode impacts le-        |
| Voruthog                 | gitimation is thus timely and pertinent.               |
| Key theoretical          | A social semiotics approach to the affordances of the  |
| approaches               | visual mode of communication is central in the de-     |
| 35 (1 1                  | velopment of this paper.                               |
| Methods                  | The research builds on a case study of Lovia, a ven-   |
|                          | ture that I have followed from January 2015 to April   |
|                          | 2017. Sources of data include interviews with the en-  |
|                          | trepreneurs and other persons in the venture; notes    |
|                          | from informal talks and from observations made         |
|                          | during visits to the venture and events related to its |
|                          | activities; copies of internal documents like business |
|                          | plans and press releases, the content of the venture's |
|                          | communication in media such as the website, social     |
|                          | media and news in the press. Visual grammar was        |
|                          | employed to address the visual empirical material,     |
|                          | and interpretive content analysis to examine verbal    |
|                          | texts.   |
| <b>Empirical setting</b> | Three Finnish entrepreneurs founded the venture        |
|                          | Lovia in the summer of 2014. In May 2015, the first    |
|                          | products were presented in the venture's website       |
|                          | store. The entrepreneurs design women's clothes,       |
|                          | bags, and accessories like jewellery. Lovia's products |
|                          | are manufactured in Finland and Italy. The products    |
|                          | are targeted at high-end consumers, with a special     |
|                          | focus on international markets.                        |
| Findings                 | The entrepreneurs engaged in discursive legitimation   |
|                          | strategies grounded in the visual mode of communi-     |
|                          | cation.  |

Table 6. Essay 3 – summary.

### 7. Epilogue

Now at the end of my doctoral research journey I realize that, as a scholar, I share legitimation concerns that are essentially similar to the ones of the entrepreneurs I have followed in the last years. I, like them, need to be regarded as credible in my field. Colleagues, funding bodies, empirical subjects... all have expectations. And, often without much thought, I end up communicating in a way that complies with their different expectations. I must not only conform to field expectations, but I must also show distinctiveness. I must adapt my actions and communication to different audiences and social settings, and I must seek to understand how expectations are changing through time... and all these, almost always, in an intuitive way. In this game that is social life, we seem to be pursuing our legitimacy as we go along. And, as important as what we do, is the communication about what we do. It seems I will not get my doctoral degree if I do not present this written report. And without my doctoral degree, without the legitimacy conveyed by it, I will hardly be able to continue doing research in the academic field.

I also share something else with the entrepreneurs with whom I was fortunate to meet and learn, during the course of my doctoral research. In their endeavours, entrepreneurs seem to be driven by the will to change the world and make it a better place. And that is exactly what I aim for in my own endeavours. Why else would I be doing research?

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The main purpose of this research has been to develop a rich understanding of how entrepreneurs discursively strive to legitimate their firms. The dissertation is composed of three essays, each one based on the case of an entrepreneurial venture and focusing on a particular facet of discursive legitimation.

Revealed here are the discursive strategies employed by entrepreneurs in pursuit of their organizations' legitimacy. These strategies are based on both the verbal and the visual modes of communication. Furthermore, this study shows that a tension between distinctiveness and similarity underlies the challenges faced by entrepreneurs when pursuing the legitimacy of their organizations, and sheds light on these challenges.



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